# NONLINEAR OPTICS AS A PATH TO HIGH-INTENSITY CIRCULAR MACHINES* 

S. Nagaitsev ${ }^{\#}$, A. Valishev, FNAL, Batavia, IL 60510, U.S.A.<br>V. Danilov, SNS, Oak Ridge, TN 37830, U.S.A.

## Abstract

What prevents us from building super-high intensity accelerators? The answer is case-specific, but it often points to one of the following phenomena: machine resonances, various tune shifts (and spreads), and instabilities. These three phenomena are interdependent in all present machines. In this paper we propose a path toward alleviating these phenomena by making accelerators nonlinear. This idea is not new: Orlov (1963) and McMillan (1967) have proposed initial ideas on nonlinear focusing systems for accelerators. However, practical implementations of such ideas previously proved elusive [1].

## INTRODUCTION

All present accelerators (and storage rings) are built to have "linear" focusing optics (also called lattice). The lattice design incorporates dipole magnets to bend particle trajectory and quadrupoles to keep particles stable around the reference orbit. These are "linear" elements because the transverse force is proportional to the particle displacement, $x$ and $y$. This linearity results (after the action-phase variable transformation) in a Hamiltonian of the following type:

$$
\begin{equation*}
H\left(J_{1}, J_{2}\right)=v_{x} J_{1}+v_{y} J_{2} \tag{1}
\end{equation*}
$$

where $v_{x}$ and $v_{y}$ are betatron tunes and $J_{1}$ and $J_{2}$ are actions. This is an integrable Hamiltonian. The drawback of this Hamiltonian is that the betatron tunes are constant for all particles regardless of their action values. It has been known since early 1960-s that the spread of betatron tunes is extremely beneficial for beam stability due to the so-called Landau damping. However, because the Hamiltonian (1) is linear, any attempt to add non-linear elements (sextupoles, octupoles) to the accelerator generally results in a reduction of its dynamic aperture, resonant behavior and particle loss. A breakthrough in understanding of stability of Hamiltonian systems, close to integrable, was made by N . Nekhoroshev [2]. He considered a perturbed Hamiltonian system:

$$
\begin{equation*}
H=h\left(J_{1}, J_{2}\right)+\varepsilon q\left(J_{1}, J_{2}, \theta_{1}, \theta_{2}\right), \tag{2}
\end{equation*}
$$

where $h$ and $q$ are analytic functions and $\varepsilon$ is a small perturbation parameter. He proved that under certain conditions on the function $h$, the perturbed system (2) remains stable for an exponentially long time. Functions $h$ satisfying such conditions are called steep functions

[^0]with quasi-convex and convex being the steepest. In general, the determination of steepness is quite complex. One example of a non-steep function is a linear Hamiltonian Eq. (1).

In Ref. [1] we proposed three examples of nonlinear accelerator lattices. In this paper we will concentrate on one of the lattices, which we know results in a steep (convex) Hamiltonian. We will also describe how to implement such a lattice in practice.

## NON-LINEAR LATTICE

Consider an element of lattice periodicity consisting of two parts: (1) a drift space, $L$, with exactly equal horizontal and vertical beta-functions, followed by (2) an optics insert, $T$, which has the transfer matrix of a thin axially symmetric lens (Figure 1). Alternatively, the $T$ insert can have a transfer matrix of an opposite sign with a phase advance of 180 degrees in both planes, which we use in our implementation below.


Figure 1: An element of periodicity: a drift space with equal beta-functions followed by a $T$ insert.

Let us assume that we have equal linear focusing in the horizontal and vertical planes such that the beta-functions in the drift space are equal to

$$
\begin{equation*}
\beta(s)=\frac{L-s k(L-s)}{\sqrt{1-\left(1-\frac{L k}{2}\right)^{2}}} \tag{3}
\end{equation*}
$$

The insert $T$ can be implemented with regular elements (quadrupoles, dipoles, drifts) as described below. Let us now introduce additional transverse magnetic field along the drift space $L$. The potential, $V(x, y, s)$, associated with this field satisfies the Laplace equation, $\Delta V=0$.
Now we will make a normalized-variable substitution [1] to obtain the following Hamiltonian for a particle moving in the drift space $L$ with an additional potential $V$ :

$$
\begin{equation*}
H_{N}=\frac{p_{x N}^{2}+p_{y N}^{2}}{2}+\frac{x_{N}^{2}+y_{N}^{2}}{2}+U\left(x_{N}, y_{N}, \psi\right) \tag{4}
\end{equation*}
$$

where

$$
U\left(x_{N}, y_{N}, \psi\right)=\beta(\psi) V\left(x_{N} \sqrt{\beta(\psi)}, y_{N} \sqrt{\beta(\psi)}, s(\psi)\right)(5)
$$

and $\psi$ is the "new time" variable defined as the betatron phase,

$$
\begin{equation*}
\psi^{\prime}=\frac{1}{\beta(s)} \tag{6}
\end{equation*}
$$

The potential $U$ in equation (4) can be chosen such that it is time-independent [1]. This results in a timeindependent Hamiltonian (4). We will now choose a potential such that the Hamiltonian (4) possesses the second integral of motion. We will omit the subscript $N$ from now on.

Consider potentials [3] that can be presented in elliptic coordinates in the following way

$$
\begin{equation*}
U(x, y)=\frac{f(\xi)+g(\eta)}{\xi^{2}-\eta^{2}} \tag{7}
\end{equation*}
$$

where $f$ and $g$ are arbitrary functions,

$$
\begin{align*}
& \xi=\frac{\sqrt{(x+c)^{2}+y^{2}}+\sqrt{(x-c)^{2}+y^{2}}}{2 c}  \tag{8}\\
& \eta=\frac{\sqrt{(x+c)^{2}+y^{2}}-\sqrt{(x-c)^{2}+y^{2}}}{2 c}
\end{align*}
$$

are elliptic variables and $c$ is an arbitrary constant.
The second integral of motion yields

$$
\begin{align*}
& I\left(x, y, p_{x}, p_{y}\right)=\left(x p_{y}-y p_{x}\right)^{2}+ \\
& c^{2} p_{x}^{2}+2 c^{2} \frac{f(\xi) \eta^{2}+g(\eta) \xi^{2}}{\xi^{2}-\eta^{2}} \tag{9}
\end{align*}
$$

First, we would notice that the harmonic oscillator potential $\left(x^{2}+y^{2}\right)$ can be presented in the form of Eq. (7) with $f_{1}(\xi)=c^{2} \xi^{2}\left(\xi^{2}-1\right)$ and $g_{1}(\eta)=c^{2} \eta^{2}\left(1-\eta^{2}\right)$. Second, we have found the following family of potentials that satisfy the Laplace equation and, at the same time, can be presented in the form of Eq. (7):

$$
\begin{gather*}
f_{2}(\xi)=\xi \sqrt{\xi^{2}-1}(d+t \operatorname{acosh}(\xi))  \tag{10}\\
g_{2}(\eta)=\eta \sqrt{1-\eta^{2}}(q+t \operatorname{acos}(\eta))
\end{gather*}
$$

where $d, q$, and $t$ are arbitrary constants. Thus, the total potential energy in Hamiltonian (3) is given by

$$
\begin{equation*}
U(x, y)=\frac{x^{2}}{2}+\frac{y^{2}}{2}+\frac{f_{2}(\xi)+g_{2}(\eta)}{\xi^{2}-\eta^{2}} . \tag{11}
\end{equation*}
$$

Of a particular interest is the potential with $d=0$ and $q=\frac{\pi}{2} t$, because its lowest multipole expansion term is a quadrupole. Figure 2 presents a contour plot of the potential energy Eq. (11) for $c=1$ and $t=0.4$.


Figure 2: A contour plot of the potential energy Eq. (11) with $c=1$ and $t=0.4$. The repulsive singularities are located at $x= \pm c$ and $y=0$.

The multipole expansion of this potential for $c=1$ is as follows:

$$
\begin{gather*}
U(x, y) \approx \frac{x^{2}}{2}+\frac{y^{2}}{2}  \tag{12}\\
+t \operatorname{Re}\left((x+i y)^{2}+\frac{2}{3}(x+i y)^{4}+\frac{8}{15}(x+i y)^{6}+\frac{16}{35}(x+i y)^{8}+\ldots\right)
\end{gather*}
$$

where $t$ is the magnitude of the nonlinear potential.
Since the 2D Hamiltonian with this potential has two analytic integrals of motion, it is integrable and thus can be expressed as an analytic function of actions:

$$
\begin{equation*}
H=h\left(J_{1}, J_{2}\right), \tag{13}
\end{equation*}
$$

where

$$
\begin{equation*}
J_{1}=\frac{1}{2 \pi} \oint p_{\eta} d \eta \quad J_{2}=\frac{1}{2 \pi} \oint p_{\xi} d \xi \tag{14}
\end{equation*}
$$

Let us now determine the maximum attainable betatron frequency spread in such a potential. First, this potential provides additional focusing in $x$ for $t>0$ and defocusing in $y$. Thus, for a small-amplitude motion to be stable, one needs $0 \leq t<0.5$. This, corresponds to the following small-amplitude betatron frequencies,

$$
\begin{align*}
& v_{1}=v_{0} \sqrt{1+2 t}  \tag{15}\\
& v_{2}=v_{0} \sqrt{1-2 t}
\end{align*}
$$

where $v_{0}$ is the unperturbed linear-motion betatron frequency. For arbitrary amplitudes the frequencies are obtained by

$$
\begin{align*}
& v_{1}\left(J_{1}, J_{2}\right)=\frac{\partial h}{\partial J_{1}} \\
& v_{2}\left(J_{1}, J_{2}\right)=\frac{\partial h}{\partial J_{2}} \tag{16}
\end{align*}
$$

Figure 3 presents frequencies $v_{1}\left(J_{1}, 0\right)$ and $v_{2}\left(0, J_{2}\right)$, normalized by $v_{0}$ for $t=0.4$.


Figure 3: Oscillation frequencies $v_{1}\left(J_{1}, 0\right)$ (top) and $v_{2}\left(0, J_{2}\right)$ (bottom), normalized by $v_{0}$, for $t=0.4$

By examining the function $h$ in Eq. (13) one can also demonstrate that it is a convex function and thus satisfies the Nekhoroshev's condition for a steep Hamiltonian. In the next section we discuss how to implement such a system in a practical accelerator.

## PRACTICAL IMPLEMENTATION

Since only a part of the accelerator circumference must be occupied by the nonlinear elements, it is natural to start with a conventional design machine. The lattice must satisfy the following design criteria:

- Be periodic, with the element of periodicity comprised of a drift space with equal beta-functions, and a focusing and bending block with the betatron phase advance in both planes equal to $\pi$ ( $T$-insert in Fig. 1).
- The $T$-insert must be tunable to allow a wide range of phase advances (and beta-functions) in the drift space in order to study different betatron tune working points.
- It is preferable that the focusing block is achromatic in order to avoid strong coupling between the transverse and longitudinal degrees of freedom.

Currently, a superconducting RF test facility is under construction at Fermilab's New Muon Lab [4]. Upon completion, the facility will consist of an electron linac delivering bunches with the energy of up to 750 MeV and an experimental area located in a $16 \times 16 \mathrm{~m}$ hall. The experimental program for NML includes advanced accelerator physics R\&D, and a small storage ring for studies of nonlinear dynamics could be included as a part of that program. Considering the NML hall space and beam energy constraints, we restricted the machine to approx. $13 \times 13 \mathrm{~m}$ footprint (Fig. 4).


Figure 4: Layout of the test ring.
In the design of the test ring, the lattice has four periods, in which a Double Bend Achromat with 10 quadrupoles represents the $T$-insert. The drifts for the nonlinear lens
blocks have a length of 3 m . There are also four 2.5 m straight sections for installation of an RF cavity, injection devices and instrumentation. The lattice functions of the periodicity element are presented in Fig. 5, and main parameters of the machine are listed in Table 1.


Figure 5: Test ring lattice functions. The phaseadvances $\mu$ are given in units of $2 \pi$.

Table 1: Main Parameters of the Test Ring

| Electron beam energy | 150 MeV |
| :--- | :--- |
| Circumference | 38 m |
| Dipole field | 0.5 T |
| Betatron tunes $Q_{\mathrm{x}}=Q_{\mathrm{y}}$ | $2.4 \div 3.6$ |
| Synchrotron radiation damping time | $1-2 \mathrm{~s}$ <br> $\left(10^{7}\right.$ turns $)$ |
| Transverse emittance <br> (rms non-normalized) | $6 \times 10^{-8} \mathrm{~m}$ |

Such machine can be used to test the nonlinear integrable optics concept by demonstrating stable operation at superhigh values of the betatron tune spread. In the proposed lattice design, the phase advance $v_{0}$ over the drift space with nonlinear element can be varied from 0.1 to 0.4 (this corresponds to the betatron tune between $(0.5+0.1) \times 4=2.4$ and $(0.5+0.4) \times 4=3.6)$. According to Eq. (15), the maximum attainable tune spread in this case can exceed 1 , which means that some particles within the bunch would cross the integer resonance.

In order to demonstrate the high tune spread, the transverse beam size must be comparable to the distance between the poles of the potential $U$ (Fig. 2), located at $x= \pm c$ and $y=0$. For the chosen ring energy and equilibrium emittance, the beam size $\sigma_{\mathrm{x}}, \sigma_{\mathrm{y}} \approx 0.25 \mathrm{~mm}$, which would require an impractically small transverse dimensions of the nonlinear elements. However, due to the very long damping time it is possible to "paint" a larger area with the small emittance linac beam. Hence, we considered nonlinear elements with the aperture $2 c \geq 2 \mathrm{~cm}$.

It is not practical to realize the continuous variation of the cross section of the nonlinear element as required by Eq. (5). Rather, one would construct the nonlinear lens block of a number of elements with constant cross section. This modification presents a perturbation of the ideal integrable system. In addition, the integrability can be disturbed by optics errors common to conventional accelerators, such as the beta-function and phase advance modulation. These factors motivated the study of the system stability using numerical simulation.

Macro particle tracking codes were used to simulate the effect of various factors on the stability of particle motion. The simulations also generate the dipole moment spectra, a quantity that can be used to evaluate the betatron tune spread and which is reported by common accelerator instrumentation.

In the simulation, the nonlinear lenses were implemented as thin kicks, and tracking through the accelerator arcs was performed with conventional methods. A typical simulation would track 5000 particles over 8,000 turns to produce the spectra and $10^{6}$ turns to check the particle stability. The initial distribution had the amplitude of particles limited by $c / 2$ in the horizontal plane and $c$ in the vertical plane, and random phases.


Figure 6: Spectra of horizontal (upper plot) and vertical (lower) dipole moment for various values of nonlinearity $t$. Linear ring betatron tunes $\mathrm{Q}_{\mathrm{x}}=3.6, \mathrm{Q}_{\mathrm{y}}=3.62$.

Figure 6 presents the dipole moment spectra for the case of the ring betatron tunes $\mathrm{Q}_{\mathrm{x}}=3.6, \mathrm{Q}_{\mathrm{y}}=3.62\left(\mathrm{v}_{0}=0.4\right)$ and different magnitude of nonlinearity $t$. As one would expect at $t=0$ there is no tune spread since the machine lattice is linear. The tune spread grows as the nonlinearity increases. For $t=0.4$ the maximum tune spread is $v_{0} \times 4 \times 1=1.6$ (see Fig. 3), which can not be seen in Fig. 6 due to the properties of the Fourier transformation, and because the observed quantity, the horizontal or vertical dipole moment, is a combination of normal modes. Some particles of the bunch had their tune on the integer
resonance and yet no instability was observed even though the lattice was not perfectly symmetrical.

A more convenient presentation is shown in Fig. 7, where the spectra of horizontal dipole moment are plotted for a special initial particle distribution with $y, p_{y}=0$. For such case, the horizontal coordinate coincides with one of the normal modes and it is possible to compare the tracking results with the analytical model in Fig. 3. Indeed, for $t=0.1$ the tune for small amplitude particles is $0.5 \times 4+v_{0} \sqrt{ }(1+2 t)=3.75$, and particles with larger amplitudes have a positive tune shift. For $t=0.4$, the small amplitude tune is $0.5 \times 4+v_{0} \sqrt{ }(1+2 t)=4.15$, which at the plot is seen as $1-0.15=0.85$.


Figure 7: Spectrum of horizontal dipole moment for various values of nonlinearity $t . \mathrm{Q}_{\mathrm{y}}=3.62, y, p_{y}=0$.

The stability of the system to the following perturbations was studied:

- Phase advance in the $T$-insert not equal to $\pi$, different horizontal and vertical phase advance, differences between the elements of periodicity. It was found that up to 0.05 tune difference is tolerable.
- Different $\beta$-functions in the nonlinear lens blocks. Up to $5 \%$ variation between $x$ and $y$ did not cause particle losses.
- Misalignment of thin nonlinear elements within the lens block. Up to 5 cm error in the longitudinal position of the individual element is allowed, although the tolerance depends on the phase advance in the nonlinear straight section and on the value of nonlinearity. The system is less sensitive to perturbations at smaller values of $v_{0}$ and $t$.
A more elaborate study of the system stability range is underway with the focus on machine nonlinearities, such as the chromaticity correction sextupoles, and the effect of longitudinal dynamics, e.g. the importance of chromaticity of T-inserts and zero dispersion in the nonlinear lens section.


## SUMMARY

In this paper we presented an example of completely integrable non-linear optics and its practical implementation.
Tune spreads of $50 \%$ are possible. In our test ring simulation we achieved tune spread of about 1.5 (out of 3.6). Such a system has the potential to make an order of magnitude increase in beam brightness and intensity because of increased Landau damping.

## REFERENCES

[1] V. Danilov and S. Nagaitsev, Phys. Rev. ST Accel. Beams 13, 084002 (2010).
[2] N. Nekhoroshev, Russian Math Surveys 32:6 (1977), p. 1-65.
[3] G. Darboux, "Sur un problème de mécanique", Arch. Néerlandaises Sci., Vol. 6, 371-376 (1901).
[4] M. Church et al., "Plans for a 750 MeV Electron Beam Test Facility at Fermilab", in Proceedings of PAC07, Albuquerque, NM 2007. THPMN099.


[^0]:    *Work supported by UT-Battelle, LLC and by FRA, LLC for the U. S. DOE under contracts No. DE-AC05-00OR22725 and DE-AC0207 CH 11359 respectively.
    "nsergei $@$,fnal.gov

