# On Extrinsic - Weak Link - Effects in the Surface Impedance of Cuprate - and Classical - Superconductors

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## Abstract:

The reported rf surface impedance of superconducting cuprates continues to drop with two years of improving material quality. As leading indicator for quality the weak link critical current  $j_{cJ}(T \approx 0, B \approx 0)$  has grown from 10<sup>2</sup> to above 10<sup>6</sup> A/cm<sup>2</sup> and thus the Josephson penetration depth  $\lambda_J \propto 1/\sqrt{j_{cJ}}$  and the excess normal (leakage) tunnel current jbl are shrinking. This jcJ-growth has now saturated, whereas the rf residual surface impedance Z<sub>res</sub> is still shrinking with material improvements. This shows clearly that Zres is an extrinsic property. We present evidence that  $Z_{res}$  is due to the large leakage current  $j_{bl}$  and the small  $j_{cJ}$  of weak links where the latter destroys the intrinsic shielding from a  $\lambda_I$  -thin seam  $\lambda_J$  deep into the bulk. This causes rf residual losses  $R_{res} \approx (\omega \mu_0)^2 \lambda_J 3\sigma_{bl}/2$ .  $R_{res}$  stays finite at T  $\approx 0$  by  $\sigma_{bl}(T \rightarrow 0) \approx \sigma_{bl}$  ( $\propto j_{bl}$ ) being amplified by  $(\lambda_J/\lambda_I)^3 > 10^3$  as a weighting factor. With slow crystal growth weak links are improved and their density is reduced so that  $R_{res}$ -values better than  $R_{Nb}$  (4.2 K) are now obtainable. For Classical superconductors the Josephson coupled weak links are due to crack corrosion or grain boundaries. The grain boundaries occur in large densities in sputter deposition. These weak links cause an rf residual surface impedance which is minimal for minimal weak link boundary resistances being propertional to the leakage resistance  $\propto 1/\sigma_{bl}$ . Thus, like for YBCO,  $Z_{res}$  is minimal for minimal extrapolated resistivity  $\rho(T \rightarrow 0)$ .

The  $j_{cJ}(T, B)$ -values explain  $\lambda_{res}$  and  $R_{res}$  quantitatively and in temperature  $\propto$   $(a+T^n)$ ;  $n \approx 1$ ,  $T < T_c/2$  and  $\propto (b+H^n)$ ,  $n \approx 1$ ;  $H > H_{c1J}$  in field dependence. Here

 $H_{c1J}$  is the field where flux enters into weak links as Josephson fluxons having negligible viscous losses, but act by enhancing the penetration depth.

# **1. INTRODUCTION**

Cuprate superconductors show penetration depths  $\lambda(T)$  and surface resistances R (T), which because of their magnitude (10<sup>1</sup> - 10<sup>6</sup> above BCS) and temperature dependencies ( $\alpha$  (T/T)<sup>m</sup>, m $\approx$ 1, T<T<sub>c</sub>/2)<sup>1</sup> well above expectations, have been related to, e. g., an energy gap with nodes <sup>2</sup> or intrinsic normal carriers <sup>3</sup>. The recently observed field dependencies  $4(\alpha H^n, n \approx 1, H \ge 0.1 \text{ Oe})$  or dc resistance dependence <sup>5</sup> R<sub>res</sub>  $\alpha \rho^2(0)$  ask for new explanations not covered by Ref. 2 or 3. In this note a comparative discussion between the different explanations are not given. Instead, evidence is presented that all "residual rf effects" in: magnitude-, frequency-, temperature-, dc resistance-, and field- dependence can be related to "weak links", as outlined in Ref. 4. Here "weak link" stands for planar defects being weakly superconducting only, i. e., being crossed by a reduced Josephson current  $j_{cJ}$  where the reduction is compensated by a normal, leakage current  $j_{bl}$ . The weak Josephson coupling yields a long Josephson penetration depth  $\lambda_J > 1$  µm causing the destruction of rf shielding deep into the superconductor. In lowest order approximation in a two fluid model this destruction causes rf residual losses given by <sup>4</sup>

$$R_{res} = \mu R_J = \mu (\mu_0 \omega)^2 \lambda_J^3 \sigma_{bl} /2$$
(1.1)

with  $\mu \leq 0.1$  as geometrical factor describing the effective areal density of weak links and  $\sigma_{bl}$  the normal conductivity across weak links, e. g., caused by leakage currents or fluxoids discussed in Section 2. Crucial in (1.1) is the finite  $\sigma_{bl} (T \rightarrow 0) \propto$  $j_{bl} \approx \text{const}$  and the  $\lambda_J^3$  amplification, being typical for any two fluid model. So,  $R_{res} \approx \text{const}$ . dominates over the intrinsic BCS surface resistance  $R_I(T, \omega) \propto \exp(-\Delta/kT)$  ( $T < T_c/2$ ):

$$R_{eff} = (1 - \mu) R_{I} + \mu R_{J}$$
(1.2)

For the effective penetration depth a linear averaging holds in contrast to  $R_J \propto \lambda_J ^3$ :

$$\lambda_{\rm eff} = (1-\mu)\lambda_{\rm I} + \mu\lambda_{\rm J}$$
(1.3)

and thus  $\lambda_{eff}$  is much closer to an intrinsic  $\lambda_I$  <sup>6</sup>.

In Ref. 4 the physics of the rf residual surface impedance is worked out in detail. Here those formulas are elaborated for comparison with experiments. Thus in Section 2 weak links and their parameters are introduced. For example it is shown that,  $j_{cJ} \propto 1/R_{bn}^{2n}$  ( $n \ge 1$ ) holds with  $R_{bn}$  as weak link grain boundary resistance 7 being proportional to  $\rho(0)$  which yields  $\lambda_J \propto 1/\sqrt{j_c} \propto R_b^n$ ,  $\lambda_J \propto \rho(0)$  and  $R_{res} \propto \rho^2(0)$ . On the other hand  $j_c(T) \propto (1 - T/T_c)^2$  yields  $\lambda_J(T) - \lambda_J(0) \approx \delta \lambda_J \propto T$  and  $\delta R_J(T) \propto T$  for  $T < T_c/2$ . The penetration of fluxoids at  $H_{C1J}$  in the weak links causes  $\lambda_J$  and  $R_J$  to increase linearly with H where details depend on the specific fluxon dynamics. All these phenomena summarized in Section 2 have been observed experimentally, see Section 3.

## 2. WEAK LINKS

Weak links as planar defects with reduced normal conductivity, can be described by an enhanced grain boundary resistance  $R_{bn}(\Omega cm^2)$  and a reduced critical Josephson current  $j_{cJ}$  in the superconducting state. In YBCO these are usually separated <sup>1</sup>, <sup>5-17</sup> into two main categories according to their experimental appearance <sup>4</sup>: "Intergrain ("J") weak links" include extrinsic grain surfaces occuring often in granular material, e. g., by sintering. "Intragrain ("G") weak links" occur typically in epitaxial films as small (or large angle) grain boundaries. For the classification in Table 1 the weak link critical current is used as leading indicator. This  $j_{cJ/G}$  in cuprates is well below expectations using the normal state grain boundary resistance  $R_{bn}$ : <sup>7-9</sup>

$$j_{c} R_{bn} = \frac{1}{c} \frac{\pi \Delta(T)}{2 e} \tanh \frac{\Delta(T)}{2 k T} ; c \propto R_{bn}^{2 n} \qquad (T < T_{c}; n \ge 1)$$
(2.1)

holding for S-I-S (superconductor-insulator-superconductor) junctions, whereas for S-N-S (S-normal conductor-S) holds  $(t = T/T_c)$ :

$$j_c(T) \simeq j_c(0)(1-t)^m$$
  $(T_c/4 \le T < T_c; 1 \le m \le 2)$  (2.2)

The transition from S-N-S (2.2) to S-I-S (2.1) behaviour shifts to higher temperatures for better junctions, i. e., higher  $j_c$  and smaller  $c = j_{bn}/j_c$  - values see Table 1. The  $j_c$ -reduction, i. e., c > 1, is compensated by a "quasi normal leakage current"  $j_{bl}$  or leakage grain boundary resistance  $R_{bl}$ :

$$j_{bl} \simeq j_{bn} - j_{c} = j_{bn} \left( 1 - \frac{1}{c} \right); R_{bn} = R_{bl} \left( 1 - \frac{1}{c} \right)$$
 (2.3)

This normal, leakage current defines the residual losses via  $\sigma_{bl}$  in Eq. (1.1) by

$$\frac{1}{\sigma_{bl}} = \left( R_{bl} - R_{bn} |H| / \left( H(T)_{c2} u(T) \right) \right) / 2 \lambda_{l} (T)$$

$$= \left( R_{bn} / \left( 1 - \frac{1}{c} \right) - R_{bn} |H| / H_{c2} (T) u(T) \right) / 2 \lambda_{l} (T)$$
(2.4)

In Eq. (2.4) the first term  $\rho_{bl} = R_{bl}/2 \lambda_I(T)$  describes a normal resistance for the shielding current destroyed along a weak link deep ( $\sim \lambda_J$ ) into the YBCO in a  $2\lambda_I = 2 \lambda_{BCS}(T)$  wide seam. This term corresponds to a resistively shunted Josephson junc-

Weak link	abbr.	$R_b/\Omega cm^2$	$j_c/A/cm^2$	$\lambda_J/\mu m$	$\rm H_{c1}/Oe$	$\mathrm{H_{c2}/100~Oe}$	c	d/nm
insulator		8	0	8	-	•	•	> 6
e intergrain	ſ	≥ 10 <sup>-6</sup>	$\sim 10^2$	$\sim 30$	≈ 1	1	$\approx 10^2  10^3$	≈ 2
intragrain	G	$\approx 5 \cdot 10^{-8}$	≧ 10 <sup>4</sup> - 10 <sup>6</sup>	≈ 1	~ ≈ 100	> 100	≈ 10	≈ 1
intrinsic	Ι	0	$\leq 2 \cdot 10^8$	0.14	≧ 1000	> 1000	1	0

tion (RSJ) analyzed in Ref. 10. The second term in (2.4) describes the residual losses by fluxon ( $\propto |H|/H_{c2}$ ) motion along weak links having a negative sign due to the electric field being induced by this fluxon motion. The resistance is given by  $R_{bn}/2\lambda_I(T)$  being much larger than  $\rho(T)$  of YBCO cristallites. In Section 3.4 the fluxon dynamics are discussed for the surface impedance, especially the difference between Abrikosov fluxons ( $u \approx 1$ ) and Josephson fluxons being highly correlated described by  $u(T) \gg 1$ . Such fluxons may exist as residual flux  $H_{res}$ , already, or may penetrate above  $H_{c1J}$  with the characteristic nucleation frequency  $f_N \approx 10^{11}$  Hz 4. The grain boundary resistance  $R_{bn}$  in Eq. (2.4) can be measured by  $\rho(T)$  of strips more narrow than the grain size  $t_G$ , so that no parallel shunting occurs 7. Then  $R_{bn} \approx t_G p(0)$  holds 9.

The superconducting critical Josephson current (2.1) is related to a penetration depth:

$$\lambda_{J}(T, H) = \sqrt{\hbar/2 \operatorname{ej}_{c}(T) 2 \lambda_{I}(T)} > \lambda_{I}$$
(2.5)

describing the reduced supercurrent shielding at weak links as compared to the intrinsic magnetic field penetration depth  $\lambda_I \approx 150$  nm. Because weak links have small flux entry fields  $H_{C1J}$ , fluxons enter and enhance the Josephson penetration depth for  $|H| > H_{c1J}$  by a mean reduction of shielding by  $j_{CJ}(T, H)$  (Eq. (2.5)) as summarized in Ref. 4. This flux entry field is for Abrikosov fluxons with  $H_{C1} = \Phi_0$ . ln  $\lambda_I / \xi_{GI} / \mu_0 \lambda_I^2$  ( $\Phi_0 =$  flux quantum,  $\xi_{GI} =$  Ginzburg Landau coherence length) quite large. Because of  $H_{C1} \propto 1/\lambda^2$ ,  $H_{C1}$  is already reduced for Josephson fluxons by  $\lambda_J / \lambda_I \approx 10$  (Eq. 2.5) to

$$H_{C1J} \approx \frac{\Phi_0}{\mu_0 \lambda_J^2(T)} \ln \frac{\lambda_J}{\xi_{G1}^J} \qquad \text{and even more to}$$
$$H_{C1H} \approx \frac{\Phi_0}{\mu_0 \lambda_H^2} \ln \frac{\lambda_H}{t_G} \qquad (2.6)$$

for Hyper fluxons  $^{30},$  being extended over many grains of size  $t_G \!\ll\! \lambda_I.$  Then the penetration depth

$$\frac{1}{\lambda_{\rm H}^2} = \frac{4 \mathrm{e} \, \mathrm{j}_{\rm C} \, \mathrm{t}_{\rm G} \, \mu_0}{\hbar} \tag{2.7}$$

is enhanced by the new length scale  $t_G$  substituting  $\lambda_I$  or  $\xi_{Gl}$ . It should be mentioned that the meaning of  $H_{C2}$  in this context of Josephson or Hyper fluxons is the instability against a "normal state" in this intergranular matter. But a super current may be still carried across weak links by narrow microbridges above  $H_{C2J}$ .

# **3. COMPARISON WITH EXPERIMENT**

The rf field dependencies have been modelled, discussed and compared with experiments in Ref. 4. This model, also summarized in Section 2, and new experimental information on  $\rho(0)$ ,  $\lambda_{res}$ ,  $R_{res}$  and their  $\omega$ -, T- and H-dependencies are analyzed in the following. For this analysis we use Eqs. (1.2) and (1.3) referring for a more quantitative treatment to Refs. 10 and 17. In these equations the areal ratios  $\mu$  enter: for intergrain weak links cristallite sizes tJ around 10  $\mu$ m are typical with  $\lambda_I \approx 0.15 \ \mu$ m yielding  $\mu_J \approx 1 \ \%$ . For intragrain weak links occuring, e. g. in epitaxial  $\hat{c}$ -axis films , subgrain or island sizes around  $t_G \approx 1 \ \mu m \ ^{11}$ , <sup>18</sup> are typical, yielding  $\mu_G \approx 10 \ \%$ . For  $\hat{a}$ -axis films t\_G  $\approx 0.2 \ \mu m \approx \lambda_I$  are found <sup>17</sup> asking for the more refined treatment carried out in Ref. 17. For single crystals or melt-textured growth  $^{19}$  t\_G  $\approx 40 \ \mu$ m yields  $\mu_G \approx 0.4 \ \%$ .

# 3.1 Parameter dependencies of the weak link surface impedance $Z_i$

In Section 2 in Eqs. (2.1)-(2.5) the dependencies of  $Z_J$  on: "weak link quality"  $R_{bn}$  ( $\propto \rho(0)$ ), temperature t=T/T<sub>c</sub> and magnetic field H/H<sub>c</sub> are given implicitly. Explicitly we get for the temperature dependence  $j_{cJ/G} \propto (1-t)^m$  ( $1 \le m \le 2$ ) for the intermediate temperature range  $T_c/4 < T < T_c$ , where most surface impedance measurements have been analyzed (Eqs. (3.1)-(3.4). Starting with Eq. (2.5) one obtains for the T-dependence:

$$\lambda_{J/G}(T) \propto 1 / \sqrt{j_{cJ/G}(T) \lambda_{I}(T)} \propto R_{bn}^{n} / \left[ \left( 1 - t \right)^{\frac{m}{2}} \sqrt{\lambda_{I}(T)} \right]$$

$$\propto R_{bn}^{n} \left( 1 + m/2 t \right) / \sqrt{\lambda_{I}(T)}$$
(3.1)

$$R_{J/G}^{2 n}(T) \propto \lambda_{J/G}^{3} \sigma_{bl} \propto R_{bn}^{n} / \left[ \left( 1 - 1/c(T) \right) \lambda_{I}(T) \left( 1 - t \right)^{\frac{3 m}{2}} \sqrt{\lambda_{I}^{3}(T)} \right]$$

$$\propto R_{bn}^{2 n} \left( 1 + 3 m t/2 \right) / \left[ \left( 1 - 1/c(T) \right) \sqrt{\lambda_{I}^{5}(T)} \right]$$

$$(3.2)$$

for  $T_c/4 \le T < T_c$ . These equations contain a linear increase with t by  $j_{CJ}(T)$ , but via  $H_c(T) \simeq H_c(0)$  (1-t<sup>2</sup>) a t<sup>2</sup> increase occurs in  $Z_J$  by <sup>4</sup>:

$$\lambda_{J/G}(T, H^*) \simeq \lambda_{J/G}\left(T, H_{c1J/G}\right)\left(1 + H^*/2H_{c2J/G}(T)\right)$$
(3.3)

and by reactively oscillating fluxons in a pinning potential as described by 20, 21:

$$\lambda_{n}(T, H^{*}) \simeq \lambda(0, H^{*})/(1 - t^{2}) u(T)$$
 (3.4)

The dependencies of  $R_{J/G}(T, H)$  on field  $H > H_{C1J/G}$  are in first order given by:

$$R_{J/G}(T,H^*) \simeq (\omega \mu_0)^2 \lambda_{J/G}^3 (T,H_{C1J/G}) \left(1 + \frac{3}{2} \frac{H^*}{H_{C2J/G}}\right) \left[\sigma_{bl} + \sigma_{bn} \frac{H^*}{H_{C2J/G} u}\right] / 2$$
(3.5)

The fluxons oscillating in a pinning potential may be of external origin  $H^* \simeq H_{dc}$ or  $\simeq H_{rf}$  or due to frozen - in flux  $H^* \simeq H_{res}$ , which may consist of vortex - antivortex pairs, created at defects <sup>21</sup>. This latter term is the -low frequency- real part of the fluxon viscosity of Eq. (2.4) <sup>23</sup>. It should be mentioned that for films of thickness t<sub>F</sub> smaller than  $\lambda_J$  or  $\lambda_G$  (Table 1), an effective, reduced impedance Z\* is to be introduced:

$$Z^* \simeq (R_{J/G} + i \,\omega \mu_0 \lambda_{J/G}) / \operatorname{coth} t_F / 2 \,\lambda_{J/G}$$
(3.6)

This changes the dependencies of the weak link impedance and, e. g., for  $t_F \gg \lambda_{J/G}$  in Eqs. (3.1)-(3.5)  $\lambda$  is substituted by  $t_F/2$ .

One word about the critical currents. In Eq. (3.1) and (3.2)  $j_{cJ/G}$  is the Josephson critical current and not a transport critical current. In general, the pinning critical current  $j_{cp}(T, H)$  is larger than  $j_{cJ}$  or  $j_{cG}(T, H)$ . For long Josephson junctions  $t_G > \lambda_G$  pinning causes  $j_c > j_{cG}$ . Thus for  $\hat{c}$ -axis epitaxial films with  $t_G \ge 1 \ \mu m$  <sup>18</sup>  $j_c \simeq 10^7 \ A/cm^2$  may correspond to  $j_{cG} \approx 10^6 \ A/cm^2$  and thus  $j_{cp}(T, H)$  dominates the T and H dependence of  $j_c$ . This is in contrast to  $\hat{a}$ -axis films with  $t_G \approx 0.2 \ \mu m \approx \lambda_I$  where weak links and pinning sites are never spatially seperated. For such films  $j_c \approx j_{cG}(T, H) \le 10^6 \ A/cm^2$  is a good approximation and found experimentally <sup>17</sup>.

## 3.2 Dependence of Z<sub>res</sub> on R<sub>bn</sub>

For standard sintered YBCO with  $4 \mu_J \approx 1 \%$  and  $\lambda_J / \lambda_I \ge 2 \cdot 10^2$  intrinsic properties may show up in  $\lambda_{eff}$  very close to  $T_c$ , only. That intergrain weak links dominate below  $T_c$  is shown for granular YBCO <sup>1</sup> by the large quasilinear T-increase with

$$\Delta \lambda_{0.5} = \left[ \lambda \left( T_c / 2 \right) - \lambda \left( 0 \right) \right] / \lambda \left( 0 \right) \approx 0.2 - 0.3$$
(3.7)

This results from Eq. (3.1), but is much larger than the BCS value  $\Delta \lambda_{0.5} \leq 5 \% 6$ . In the surface resistance given by Eq. (1.1),  $\mu_J (\lambda_J/\lambda_I)^3 > 8 \cdot 10^4$  makes any identification of intrinsic properties impossible, where our extrinsic two fluid  $R_{eff} \propto \lambda_J^{3-1}$  dependence actually has been observed experimentally <sup>12</sup>. Quantitatively, Eq. (1.2) yields for granular YBCO:

$$R_{eff,l} = R_{res} \approx \mu_l R_l \le 10^{-4} \Omega (f/GHz)^2$$
 (3.8)

which is in line with observations 1,4,12. The orientation dependence of  $R_{res}$  in Ref. 14 is described by  $R_{res} \propto 1/\sqrt{j_{cJ}}^3$  with the measured  $j_{cJ}$  anisotropy of the textured YBCO. This shows, that these residual losses are not dependent on  $\mu\sigma_{bl}$ . This was proven by an identical H-field dependence 14 in both orientations, indicating that these losses are due to  $\hat{a}\cdot\hat{b}$ -plane weak links. In summary, rf residual losses due to intergrain weak links are dominated by the weighting factor  $\lambda_J^3$  because the normal leakage current is always maximum  $\sigma_{bl} \simeq \sigma_{bn}$  in Eq. (3.2).

For intragrain weak links, smaller  $(\lambda_G/\lambda_I) \approx 10$  values allow the indentification of the intrinsic temperature dependence of the penetration depth  $\lambda_I$  (T) for material with small  $\mu_G$ , as discussed in Section 3.3. For epitaxial films with large angle intragrain boundaries,  $\lambda_J \approx 5 \mu m$  seems typical <sup>11</sup>. Then with  $\mu_G \approx 10 \%$ , the weak link  $\lambda_J$  and  $\lambda_I$  are roughly in line with  $\lambda_{eff}$  (O)  $\approx 0.4 \mu m$  reported in Ref. 13. But the residual losses  $R_{eff} \propto \mu_G (\lambda_G/\lambda_I)^3 \approx 10^2$  are still dominated by intragrain weak links (Eq. (3.2)):

$$R_{effG} = R_{res} \approx \mu_G R_G \le 10^{-6} \Omega (f/GHz)^2$$
(3.9)

For this type of material the correlation of  $R_{res} \propto (\lambda_G/\lambda_I)^3 \propto R_{bn}^2 \propto \rho^2(0)$  has recently been observed, <sup>5, 14</sup> by a reduction of large angle intragrain weak links <sup>5</sup> for epitaxial films with identical substrates MgO and identical dp/dT, i. e., identical  $\mu$ . Further reductions, of  $R_{res}$ , e. g., by a reduction of  $\mu_G$  and  $j_{bl}$  in single crystals, <sup>16</sup> are already underway guided by the  $R_{bn} \propto \rho(0)$ -value.

#### 3.3 Temperature dependence of Z<sub>J</sub>

The <u>temperature dependencies</u> of  $Z_{eff}(T)$  are not only defined by  $\lambda_J(T)$ ,  $\lambda_G(T)$  or  $\lambda_I(T)$  or  $H_c(T)$  but also by  $\sigma_{bl}(T)$ . For intergrain weak links, the low  $H_{C1J}$ -field smaller than 1 Oe (Table 1) <sup>4</sup> is also influencing the experiments (Eq. (2.4)), but the H-field is usually not stated in these publications. Thus the temperature dependencies  $\delta Z \propto Tn (n \approx 1)$  <sup>1</sup> are not only given by  $\delta \lambda_J \propto T/T_c$  (Eq. (3.1) and (3.2)) but also by  $H_{CJ}(T) \propto (1 - t^2)$ . Thus nothing quantitative can be said, aside from stating that  $R_J(T) \gg R_I(T)$  holds and that in intergrain weak links  $\lambda_J$  and  $R_J$  dominate Qualitatively, a  $1/(1-t^2)$ -dependence suggests fluxons 20,21 and  $\Delta \lambda_{0.5} > 0.1$  (Eq. (3.7)) suggests weak links.

For <u>intragrain weak</u> links, large  $H_{c1G}$  fields (Table 1) allow experimental results not influenced by the field dependence of Eq. (3.3) <sup>4</sup>. Eq. (1.3) yields with (2.1) as

effective penetration depth for  $T < T_c/2$  with  $\Delta_I$  the intrinsic - and  $\Delta_G$  the weak link energy gap:

$$\lambda_{\text{eff}} \approx (1 - \mu) \lambda_{I}(O) \left( 1 + \exp\left(-\Delta_{I}/kT\right) \right) + \mu \lambda_{J}(O) \left( 1 + \exp\left(-\Delta_{G}/kT\right) \right) ) \propto c + T^{n}, \quad (3.10)$$

where the exp(-  $\Delta/kT$ )-dependence with  $6 2\Delta/kT_c \simeq 2.5$  yields a better fit than T<sup>n</sup> for improved film quality. This is explained by the exponential dependence in  $j_{cG}(T)$  (Eq. (2.1)) for S-I-S junctions 7.8. Using  $j_{CG}(0) \simeq 106$  A/cm<sup>2</sup>,  $\lambda_G(0) \simeq 0.33$  µm is obtained, which fits quantitatively 6 for subgrain island sizes of  $t_G \approx 1$  µm <sup>18</sup>. At temperatures  $T \ge T_c/2$ , a BCS-dependence with  $2\Delta_I/kT = 4.5$  was assigned 6 to be intrinsic. This two component model 6 for  $\lambda(T)$  was confirmed by â-axis films with  $t_G \approx 0.2$  µm showing  $2\Delta/kT_c \simeq 2.5$  up to  $T_c$ , i. e., the higher density of weak links causes their dominance in  $\lambda_{eff}(T)$  up to  $T_c$  with  $\lambda_{eff}(0) \simeq 280$  nm  $\approx \lambda_G(0)$ . It should be mentioned, that with increasing amounts of extended defects vortex-antivortex pairs are frozen in, causing  $\lambda_p(T) \simeq \lambda_p(0)/(1-t^2)^{21}$ , see Eq. 3.4 and Section 3.4.

Whereas the penetration depth  $\lambda(T)$  for good  $\hat{c}$ -axis films already shows "BCStype" behavior 6, the intrinsic R<sub>I</sub> (T) is much more difficult to observe in the surface resistance. It might be that the drop of R(T) by more than 2 orders at 90 GHz for melt textured material with 40 µm as weak link seperation <sup>19</sup> is already this intrinsic R<sub>I</sub>(T) <sup>16</sup>. But all other results known to the author indicate that for weak links R<sub>J</sub>  $\propto \omega^2 \lambda_J^3$  (T, H)  $\sigma_{bl}$  (T, H) dominate. E. g., for  $\hat{c}$ -axis films, subgrain sizes of 1 µm, as deduced by STM, <sup>18</sup> can account for R<sub>res</sub> quantitativly <sup>5</sup>, <sup>17</sup>. For  $\hat{a}$ -axis films with 0.2 µm weak link distances,  $j_c(T) \approx j_{cG}$  (T) holds. Using measured  $j_{cG}$ (T)-values, R<sub>res</sub>(T) was fitted in magnitude and T-dependence <sup>17</sup>.

For  $\hat{c}$ -axis films and single crystals weak links are well seperated by distances in excess of 1 µm, i. e. large compared to  $\lambda_I$ . Thus  $j_c(T)$  measured is dominated by pinning inside subgrains and not by  $j_{cG}(T)$  of the weak links. Under these circumstances  $R_{res}(T)$ -dependencies have been observed with a plateau around 40-70 K and decreases - or increases - below this plateau. These strange dependencies may reflect  $\sigma_{bl}(T)$  dependences due to  $j_c(T)$  or due to fluxon dynamics (Eq. (2.4)), as discussed in the next section.

#### 3.4 Field dependence of Z<sub>J</sub>

The magnetic field dependence of the surface impedance is complex, because different types of fluxoids are involved. Josephson fluxons exist in intergrain weak links, whereas the Abrikosov character grows for intragrain weak links to actually Abrikosov fluxons inside the grains. These differences correspond to different rf losses, being smallest for Josephson fluxoids where the "insulating core" cause negligible rf losses, if an rf current is driven through the fluxon  $^{22}$  as described in Eq. (2.4) by the enhanced weak link resistance  $R_{bn}$  and correlation volume  $\propto u(T)$ . This corresponds to fluxon viscosity being 5 orders of magnitude smaller for a Josephson fluxon than for an Abrikosov fluxon  $^{22-24}$ . For example, the rf losses of Abrikosov fluxons are described by their viscosity:

$$R \simeq R_{nc} |I| / I_{C2}$$
 with  $R_{nc} \propto \sqrt{\omega}$  (3.11)

the normal skin effect surface resistance  $^{31}$ . In contrast, the rf losses of Josephson fluxons have a negligible viscous part (Eq. (2.4)) and are dominated by the leakage current as described in Eq. (3.5) by

$$\frac{d R_{J/G}}{d H} \simeq \frac{3}{2} \qquad R_{J/G} (T, H_{C1J/G}) / H_{C2J/G}$$
(3.12)

This equation has been proven for the classical granular superconductors, namely, sputtered Nb and NbN discussed in Section 3.5, and seems to explain YBCO data also <sup>14</sup>. There  $H_{C1G} \approx 200$  Oe has been found which fits according to Eq. (2.6)  $H_{C1G} \propto 1/\lambda_G^2$  with  $\lambda_G/\lambda_l \ge 3$ , i. e.,  $j_{CG} \approx 10^6$  A/cm<sup>2</sup> - see Table 1.

But this is only part of the story: in an rf field correlated fluxons are hindered in their response by a pinning potential <sup>19</sup> and by an effective mass <sup>25</sup>. That is, they can perform a correlated motion only. This is most clearly shown for Josephson fluxons which can move only as a linear array. We summarize this in  $u(T) \ge 1$  in Eqs. (2.4) (3.4) and (3.5). The pinning potential is described by an activation energy U<sub>0</sub> being proportional to u(T) and to the correlation volume V<sub>c</sub>, which is then, e. g., proportional to the length of the weak link. Because the "effective mass" of Josephson fluxoids also grows with V<sub>c</sub>, an array of intergrain fluxons will not respond <sup>25</sup> to an rf field, as found experimentally <sup>24</sup>. In addition, this response being proportional to  $1/u(T) \propto 1/U_0(T, B)$  reflects the temperature dependence of  $U_0(T, B)$ . This  $U_0(T, B)$  increases with T up to a maximum between 50-80 K, depending on B-field strength <sup>19</sup>. Thus u(T) has a similar dependence.

With the above introduction the weak link surface impedance  $Z_{J/G}$  is discussed in the following. As obvious from  $u(T) \ge 1$ , fluxons in intergrain (intragrain?) weak links will not respond to an rf field. Thus the surface impedance increases with fluxon density mostly because  $\lambda_{J/G}(T, H)$  increases, according to Eq. (3.3). As a consequence,  $R_{J/G} \propto \lambda_J^{3/G} \sigma_{bl}$  grows accordingly and this growth can be used to measure  $H_{C1J/G}$  by the onset of the linear increase and  $H_{C2J/G}$  by the slope given in Eq. (3.12). The increase of  $\lambda_{J/G}(H)$  obviously depends on the fluxons in the weak links only, and thus on their overall spatial distribution and orientation. The spatial distribution might explain why the losses in the zero field cooled case (ZFC) are larger than in the field cooled case (FC) <sup>26</sup>: In ZFC, fluxons are piled up at the surface causing larger  $\lambda_{J/G}$ (H)- and thus larger R<sub>J/G</sub>-values. The activation energies U<sub>0</sub>=0.1 eV observed <sup>26</sup> at about 100 Oe fit to fluxons in intragrain weak links (Table 1) - see also Ref. 19.

For polycristalline, isotropic material, an orientation dependence with H will not show up, in contrast to textured material <sup>14</sup>. For textured samples, the above formula has been developed 4 for  $H_{rf} \parallel H_{dc}$ , i. e., the case when the dc field is parallel to the surface and to the weak links sampled by the rf shielding currents. Thus for dc fields perpendicular to the surface or perpendicular to the weak link planes, fluxons penetrate the weak links only in a small areal ratio. Thus,  $\lambda_{J/G}(H)$  and  $R_{J/G} \propto \lambda_{J/G}^3$  are not enhanced according the "H-field" but by a much smaller amount. Whereas these enhanced rf losses are due to Josephson fluxons enhancing the Josephson penetration depth, non-linear effects of frozen - in flux are governed by Abrikosov fluxons. This is due to their smaller correlation volume  $\propto u(T)$ , as has been confirmed experimentally <sup>24</sup>. In addition, Abrikosov fluxons yield  $R(H_{dc\perp}) > R(H_{dc\parallel})$  because of "normal conducting cores" ending at the surface for the field Hat perpendicular to the surface (Eq. ( 3.11)). This is in contrast to Josephson fluxons with  $R(H_{dc\perp}) \cong R(H_{dc\parallel})$  because of reasons mentioned above.

Comparing  $Z_{J/G}(H_{dc})$  and  $Z_{J/G}(H_{rf})$  for  $H_{dc}$  parallel  $H_{rf}$ ,  $Z_{J/G}(H_{rf})$  will be larger for frequencies below the nucleation frequency (~ 10<sup>11</sup> Hz). This is partly due to causes mentioned above for ZFC-FC: for rf fields the fluxons pile up at the surface. In addition,  $R_{J/G}(H_{dc}) < R_{J/G}(H_{rf})$  holds, because fluxons have to be created and annihilated at the surface before they migrate into the interior. Qualitatively,  $R(H_{dc}) < R(H_{rf})$  has been found <sup>27</sup> experimentally. It should be mentioned that the increase in  $\lambda(H_{rf})$  causes a decreasing eigenfrequency  $\omega(H)$  and nonlinear effects generating odd harmonics <sup>28</sup>.

The typical case for rf residual losses of "epitaxial films" or "single crystals" holds for  $H < H_{c1G} \approx 100$  Oe, i. e. no flux enters into the YBCO. Then only frozen - in flux or vortex - antivortex pairs exist and the losses are described by  $R_{J/G} \propto (\lambda_{J/G})^3 \sigma_{bl}$ .  $\sigma_{bl}$  is due to two mechanisms (Eq. (2.4)):

- The actual leakage current  $j_{bl}\approx j_{bn}\text{-}j_c$  increasing with T as suggested by  $j_c \propto (1\text{-}t)^m.$ 

- Oscillating fluxoids being governed by  $1/u(T)H_{c2}(T)$  as T-dependence. Because  $u(T) \propto U_0(T, B)$  increases with T with a maximum around 70 K, this loss mechanism will have a minimum around 70 K.

Thus the actual losses are given by a term increasing with T and one term decreasing below 50-70 K with T, where details depend very much on fluxons and their interaction and pinning. As indicated in Eq. (3.4),  $\lambda(T)$  may increase similarly. With irradiation, the losses first decrease <sup>29</sup> due to enhanced pinning, i. e., u-increase. With further damage by irradiation the leakage current j<sub>bl</sub>, and thus R<sub>res</sub>, increases. Then T-dependencies by j<sub>c</sub>(T) and u(T) are no longer visible and R<sub>res</sub>(T  $\leq T_c/2$ )  $\approx$  const holds <sup>29</sup>. The nowadays "best YBCO" with  $\rho(0) \leq 0$  show <sup>14</sup>, <sup>29</sup>, <sup>42</sup> the mentioned T dependencies, i. e., the plateau around 70 K and the decrease of R<sub>res</sub> for T < 50-70 K confirming the above model. But much more work is needed to pin down the proposed mechanisms and to reduce the known 0-loss from weak links reducing j<sub>CJ</sub> adjacent to the surface.

#### **3.5 Classical superconductors**

For bulk, smooth superconductors the field penetration by fluxons is hindered by a surface barrier to fields above  $H_{sh} > H_C$ <sup>32</sup>. In order to form such an Abrikosov fluxon a macroscopic part of the superconductor has to be driven normal conducting yielding as nucleation time <sup>32</sup>  $f_{N}$ -1<10-6 sec. Aside from Abrikosov fluxons, Josephson fluxons exist in weak links with very much reduced flux entry field  $H_{C1J} \ll H_{sh}$  and nucleation time 10-11 sec $\ll f_N$ -1 because of spatial current distribution and because of the "insulating cores" of Josephson type fluxons.

## 3.5.1 Surface impedance of Nb

In contrast to ideal surfaces assumed in Ref. 32, real metals are oxidized, showing by crack corrosion weak links filled with oxides. Such weak links have actually been identified, e. g., for Nb penetrating from the surface 0.1-1 µm deep into the superconductor <sup>33</sup>. There the density, the leakage current and the depth of weak links increase with defect concentration of Nb, i. e., with 1/RRR and strain of Nb. For reactor grade Nb with the resistance ratio RRR  $\approx$  30,  $j_c \ge 10^5$  A/cm<sup>2</sup>, H<sub>C1J</sub>  $\approx$  1 mT and  $f_N \approx 10^9$  Hz have been found <sup>31</sup>, <sup>33</sup>, after handling in air ( $\approx$ 1 h) at room temperature. This oxidation of Nb can be reduced by a protective layer of Al or NbN <sup>33</sup>.

Also sputtered Nb and NbN contain weak links. Those weak links are related to the columnar sputter growth with insulating Nb<sub>2</sub>O<sub>5</sub> coating the columns, i. e., the

grain boundaries <sup>34</sup>. For example, sputtered Nb with RRR  $\approx$  3 has grain sizes of  $t_G \approx 10$  nm where the oxides at the grain surfaces yield  $R_{bn} \approx 2 \cdot 10^{-12} \ \Omega \text{cm}^2$  as grain boundary resistance. Sputtered NbN usually has RRR  $\leq 1$  due to grain sizes below  $t_G \approx 5$  nm and  $R_{bn} \geq 3 \cdot 10^{-12} \ \Omega \text{cm}^2$  <sup>34</sup>, where  $t_G$  is roughly equal to the inelastic mean free paths at 300 K.

Returning to surface impedances, for bulk Nb with RRR  $\approx$  30, UHV annealed at 1800 °C and oxidized in air at room temperature for several hours a nucleation frequency of  $f_N \leq 10^9$  Hz has been found <sup>31</sup>. There, for f>GHz negligible rf field dependence of R<sub>res</sub> has been found below 20 mT whereas for f $\approx$ 10<sup>8</sup> Hz R<sub>res</sub>(H<sub>rf</sub>) increases linearely beginning at H<sub>C1J</sub> $\geq$ 1 mT up to 60 mT. This increase, like R<sub>res</sub>(H<sub>rf</sub> $\approx$ 0), is described by Eqs. (3.5) and (3.12) <sup>4</sup> with H $\approx$ H<sub>rf</sub> as weighted average. At 4.2 K:

$$\begin{split} R_{res} (H_{C1J}, 4.2 \text{ K}) &\approx R_{res} (0, 4.2 \text{ K}) = \mu (\omega \mu_0)^2 \lambda_J^3 (4.2 \text{ K}, H_{C1J}) \sigma_{bl}/2 \approx 10^{-7} \Omega (\text{f/GHz})^2 \quad (3.13) \\ \text{holds for } \mu &\approx 0.1 \text{ as areal weak link ratio, i. e., weak links distances of the order of 2} \\ \lambda_1 \text{ with } \lambda_1 &\approx 40 \text{ nm as penetration depth in Nb } ^{33}, \lambda_J (H_{C1J}, 4.2 \text{ K}) \approx 0.3 \ \mu\text{m} \approx 8 \ \lambda_1 \\ \text{and } R_{bl} &\approx 100 \ R_{bn} \text{ as leakage resistance for very good Nb-Nb_2O_5 junctions. Usually Nb-Nb_2O_5-Nb junctions have much larger R_{bn}/R_{bl} ratios due to defective Nb_2O_5 \\ \text{at the counter electrode}^{35}. For bulk Nb only a few weak links exist described by \\ \mu &\approx 0.01 \text{ and thus the observed}^{31} R_{res} (0.1 \text{ GHz}) \approx 2 \ n\Omega \text{ at } 1.4 \ \text{K compares quite well} \\ \text{with the estimate in Eq. (3.13). Also the flux entry field } H_{C1J} (Eq. (2.6)) \ \text{and } H_{rf} \\ \text{field dependencies of Eqs. (3.5) and (3.12) fit well to the observations}^{31}. \end{split}$$

This bulk Nb with  $\mu \approx 0.01$  has nearly no weak links and thus dc field mostly penetrate as Abrikosov fluxons causing large additional rf losses proportional <sup>31</sup> to  $H\sqrt{\omega}$  described by Eq. (3.11) by the viscous losses of oscillating Abrikosov fluxons <sup>22, 23</sup>. In contrast, sputtered Nb with RRR  $\approx 3-15$  does not show such a dc field dependence <sup>36, 37</sup>. This is due to the fact, that RRR  $\approx 3-15$  correspond to weak link distances between 10 and 50 nm, i. e., the weak link distances are smaller than  $\lambda_{I}$ . Thus dc fields -like rf fields- penetrate as Josephson type fluxons with their small viscous losses <sup>22</sup> and large U(T) in Eq. (3.4). In contrast to bulk Nb (Eq. (3.11)) this yields R<sub>res</sub> (H<sub>dc</sub>  $\leq$  mT) $\approx$  R<sub>res</sub>(0), as observed <sup>36, 37</sup>.

In rf fields, the penetrating Josephson fluxons enhance  $R_{res}$  by a different mechanism, namely by enhancing the Josephson penetration depth  $\lambda_J$  (T, H) yielding Eq. (3.5), (3.12) and

$$dR_{res}/dH_{rfmax} \approx R_{res}(H_{C1J}, T) \cdot 3/(2H_{C2J}(T) \cdot 4) \propto \omega^2 \lambda_J^3(T)/(1 - (T/T_{CJ}^*)^2)$$
(3.14)

Here the factor 1/4 has been introduced to take into account the time -and spacewise averaging by using the maximal field  $H_{max}$  of the rf cavity. Comparing Eq. (3.14) with Ref. 37,  $H_{C2J}$  (4.2 K)  $\approx$  10 mT and with Ref. 36  $T_{CJ}^* \approx 5.1$  K is obtained. The latter value fits well to a NbO<sub>x</sub> (x  $\approx$  0.02-0.04) proximity layer at weak link banks, as found by surface impedance measurements of bulk Nb also <sup>32</sup>. Taking  $T_{CJ}^* \approx 5.1$ -6K,  $H_{C2J}(4.2 \text{ K}) \approx 10 \text{ mT}$  yields  $H_{C2J}(OK) \approx 33$ -20 mT fitting to  $H_{C1J}(0) \approx 0.2 \text{mT} \cong H_{C1H}(0)$  (Eq.(2.6)). Because of  $T_{CJ}^* \approx 5.1$ -6 K, above  $T_{CJ}^*/2 \approx 2.5$  K the  $\lambda_J^3(T/T_{CJ}^*) \propto 1/\sqrt{j_{CJ}^3}(T)$  dependence in  $R_{res}(T)$  cannot be neglected. This may explain the published high intrinsic  $\Delta/kT_C$ -value <sup>36</sup>, <sup>39</sup> which assumed  $R_{res}(T) \approx \text{const.}$  Below  $T_{CJ}^*/2$  the exponential T dependence via  $\sigma_{bl}(T)$  in  $R_{res}(T)$  may yield the small weak link energy gap  $\Delta_J$ , as found for YBCO 6.

Sputtered Nb forming strip line resonators show field dependencies <sup>14, 38, 39</sup> of the surface resistance as described in Eq. (3.5), (3.12) or (3.14) indicative for weak links. But strip line resonators have at 0.5 GHz  $R_{res} \ge 10^{-7} \Omega$  due to radiation losses as compared to  $R_{res} < 10^{-8} \Omega$  in closed resonators <sup>36</sup>. Thus, aside from the  $\omega$ , H and T dependencies in agreement with Eq. (3.14), nothing quantitative can be stated, yet.

The above results on Josephson fluxons penetrating along weak links fit qualitatively and quantitatively the observed rf residual losses 4, 14, 32, 33, 36-39 of Nb in magnitude, field-, temperature- and frequency dependence. Also the observed weak increase of  $dR_{res}/dH_{rf}$  with  $H_{dc}$  <sup>31</sup>, <sup>36</sup>, <sup>37</sup> is in line with the model-see Sect. 3.4.

## 3.5.2 Surface impedance of NbN

Detailed results on NbN cavity surfaces exist as sputter deposited layer, only. By columnar growth with Nb<sub>2</sub>O<sub>5</sub>-NbN<sub>0.5</sub>O<sub>0.5</sub> coatings RRR  $\leq 1$  and grain sizes around 3 to 5 nm are typical <sup>38</sup>, <sup>39</sup>. The highest RRR obtained is 2.6 being indicative for R<sub>bn</sub>  $\geq 3 \cdot 10^{-12} \,\Omega \text{cm}^2 \,40$ . The grain boundaries are degraded by NbN<sub>0.5</sub> O<sub>0.5</sub> fromation <sup>34</sup> with T<sub>CJ</sub>\*  $\approx 10 \,\text{K}^{41}$ . This small T<sub>CJ</sub>\* together with the small grain size t<sub>G</sub>  $\approx 4 \,\text{nm} \ll \lambda_I \approx 50 \,\text{nm}$  causes (Eq. (2.6)) very small flux entry fields  $H_{C1H} \propto j_C t_G < 1 \,\text{Oe}^{-39}$  and also  $H_{C2H}$  will be reduced. To obtain the residual losses the large penetration depth  $\lambda_H \propto 1/\sqrt{j}$ (T)t<sub>G</sub> (Eq. (2.7)) samples many weak links uniformly, which yields:

$$R_{res}(T, H) \simeq (\omega \mu_0)^2 \lambda_H^3 (T, H_{C1H}) 0.1 \sigma (T) \left(1 + \frac{3}{2} \frac{H}{H_{C2H}}\right) / 2$$
(3.15)

Here as leakage current 0.10 of the normal current has been assumed. With  $\rho \simeq 10^{-4} \Omega cm$  and  $\lambda_H(4.2 \text{ K}, H_{C1H}) \simeq 0.7 \mu m$  one obtains:

$$R_{res}^{}$$
 (4.2 K, H)  $\approx 10^{-7} \Omega \left( 1 + \frac{3}{2} \frac{H}{H_{C2H}} \right) (f/GHz)^2$ 

which agrees with Refs. 39 in magnitude and with Ref. 38 and 39 in field and frequency dependence. Due to the small  $T_{CJ}*\simeq 10$  K values R(T)- fits assuming  $R_{res}\simeq const$ , i. e. neglecting  $R_{res}\simeq \lambda_J^3(T/T_{CJ}*)$ , yield too large  $\Delta/kT_C$  values 6, 39. Below 4.2 K detailed fits <sup>39</sup> reveal  $\sigma_{bl}(T) \propto exp(-\Delta_J/kT)$  with  $\Delta_J < \Delta_I/2$ .

To improve sputtered NbN for rf applications t<sub>G</sub> and R<sub>bl</sub> have to enhanced drastically. This is achievable <sup>40</sup> as shown by RRR  $\simeq 2.6$  with  $\lambda_{eff} \approx 100$  nm, being a first step in this direction.

# **4. CONCLUSION**

Intrinsic, bulk properties can be obtained by surface impedance measurements only for surfaces not serrated by weak links. Weak links, with their reduced current carrying capacity and thus enhanced weak link pentration depth  $\lambda_w$ , destroy the surface rf currents ( $\sim \lambda_I$ ) deep into the bulk. Thus the penetration depth  $\lambda_{eff}$  is amplified by  $\mu \lambda_w$  ( $\mu$  areal ratio) and R<sub>eff</sub> correspondingly by the normal skin effect.

In the superconducting state  $\lambda_w = \lambda_J > 10 \lambda_I$  and  $\sigma_{bl} (T_{\sim 0}) \approx \sigma_{bn}$  (normal conducting boundary conductance) holds for YBCO. Thus large rf residual losses are encountered in YBCO, which can be decreased by reducing  $\lambda_J$  and  $\sigma_{bl}$  by improving the material quality as indicated by the smallest  $R_{res}$  for the smallest  $\rho(0) \leq 0$ . But still: currents passing through weak links in series are dominated by the detrimental weak links. This is in contrast to NMR or ESR where an "atomic averaging" yields more intrinsic information.

The large Josephson penetration depth  $\lambda_J > 10 \lambda_I$  yields a very small field  $H_{C1J} \propto 1/\lambda_J^2$  where fluxons start to enter weak links. This yields strong field dependencies and nonlinearities at rather small fields. Only YBCO with  $d\rho/dT \approx 0.5 \mu\Omega cm/K$  and  $\rho_{100} \approx 50 \mu\Omega cm/K$ , i. e.,  $\rho(0) < 0$ , may show  $H_{C1G} > 100$  Oe and small  $R_{res} 1^{4}, 4^{2}$ . For Nb or NbN weak links with their strongly degraded  $T_{CJ}$  - and  $\Delta_J$  - values are detrimental for rf cavities, aside from their large density shown by RRR < 10. Also there smaller  $\rho(0)$ -values, i. e., larger RRR values, yield better rf cavities.

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