# **ALTERNATIVE ELECTRON BEAM SLICING METHODS** FOR CLARA AND X-RAY FELS

D. J. Dunning<sup>\*</sup>, D. Bultrini, H. M. Castañeda Cortés, S. P. Jamison, T. A. Mansfield, N. R. Thompson, D. A. Walsh STFC Daresbury Laboratory and Cockcroft Institute, Daresbury, UK

# author(s), title of the work, publisher, and DOI. Abstract

to the

attribution

maintain

Methods to generate ultra-short radiation pulses from Xray FELs commonly slice a relatively long electron bunch to feature one (or more) short regions of higher beam quality which then lase preferentially. The slotted foil approach spoils the emittance of all but a short region, while laserbased alternatives modulate the electron beam energy, improving potential synchronisation to external sources. The CLARA FEL test facility under development in the UK will operate at 100-400 nm, aiming to demonstrate FEL schemes applicable at X-ray wavelengths. We present laser-based slicing schemes which may better suit the wavelength range of CLARA and provide options for X-ray facilities.

### **INTRODUCTION**

of this work must CLARA is a new FEL test facility being developed at STFC Daresbury Laboratory in the UK [1], which will opdistribution erate with 250 MeV maximum energy and  $\lambda_r = 100-400$  nm fundamental FEL wavelength. Commissioning is underway on the front-end while design of the later stages is still being finalised. An overview of the facility layout and FEL Anv schemes is given in [2] but briefly the aim is to demonstrate novel FEL capabilities that could be applied at X-ray FEL fa-8) 201 cilities such as high-brightness SASE [3], mode-locking [4], mode-locked afterburner [5], optically slicing a single SASE O spike [6] and others. It will have a flexible design that can licence ( accommodate new ideas and future changes.

A common feature of many FEL schemes including [4-6] 3.0 is so called 'slicing' of the electron beam. It refers to apply- $\overleftarrow{\mathbf{a}}$  ing a longitudinal variation in electron beam properties such 00 that one (or more) short regions of the bunch lase preferenthe tially, thereby generating shorter photon pulses for use in experiments. For example, the slotted foil method [7,8] spoils of under the terms emittance in all but a short section of the beam, while [6] defines the lasing part of the beam via a specific energy chirp.

Given the aims of CLARA it is desirable to keep the focus on wavelength-independent aspects of the FEL concepts and used so minimise wavelength-specific difficulties where possible. For example, to suit single-shot temporal diagnostics it he is proposed to study short pulse generation for FEL wavemay lengths in the range 250-400 nm. A similar argument applies work for the seed/modulating lasers. Initially it was planned that both mode-locking and slicing with chirp/taper would be from this carried out with an applied energy modulation of period  $\lambda_{\text{mod}} \approx 50 \,\mu\text{m}$  [1]. However it has since been recognised that wavelengths outside the range  $20 \,\mu\text{m} \leq \lambda_{\text{mod}} \leq 70 \,\mu\text{m}$ would require less laser R&D to deliver suitable sources.

Modeling shows that mode-locking can be achieved with  $\lambda_{\rm mod} = 20\,\mu{\rm m}$  while the transverse apertures of the modulation section have been specified to transport wavelengths up to 100 µm to retain these options. However, another option could be to use a shorter wavelength seed (e.g. 800 nm) to replace some of the functionality of the 20  $\mu$ m  $\leq \lambda_{mod} \leq$ 100 µm range - this paper reports studies of two such methods.

## **MODE-LOCKING WITH BEAT MODULATION**

The mode-locked FEL concept [4] uses chicane delays between undulator sections to allow pulses with duration much shorter than the FEL co-operation length,  $l_c = \lambda_r / 4\pi\rho$ (where the FEL parameter  $\rho \approx 10^{-4} - 10^{-3}$ ), which is a lower limit for many schemes. The number of optical cycles in the pulse can be reduced from hundreds to approximately the number of periods in an undulator module, N = 27 for CLARA. The electron beam energy (or other electron-beam properties [9] such as current [10]) needs to be modulated with period  $\lambda_{\text{mod}} = S_e N \lambda_r$ , where a slippage enhancement factor [4]  $S_{e} \approx 4-8$  has commonly been used, corresponding to  $\sim$ 30–60 µm for CLARA. While it might not be straightforward to deliver a suitable laser source operating in this range, it might nevertheless be possible to modulate the electron beam energy on this scale through a laser induced beating modulation, as has already been demonstrated for various purposes at the FERMI FEL [11].

# Modulation Stage

For initial studies the modulation stage was approximated by directly applying a superposition of two sinusoidal energy modulations of different period to the electron beam. Wavelengths of  $\lambda_1 = 800$  nm and  $\lambda_2 = 816$  nm were used to give a beat modulation period  $\lambda_{\text{beat}} = 40 \,\mu\text{m}$  as shown in Fig. 1. This is plotted alongside a typical sinusoidal energy modulation (with  $\lambda_{mod} = 40 \,\mu m$ ) as would normally be used. In both cases it would be expected for FEL pulses to develop at  $s = 20/60/100 \,\mu\text{m}$ , etc. where the energy variation is minimised. The beat modulation case can in fact be anticipated to give cleaner output since the normal sinusoidal modulation generates secondary spikes at the maxima of the energy modulation where the energy chirp is also minimised [9].

david.dunning@stfc.ac.uk

38th International Free Electron Laser Conference ISBN: 978-3-95450-179-3





Figure 1: Electron beam energy variation with longitudinal bunch position for a beat modulation case comprising two sinusoidal wavelengths of  $\lambda_1 = 800$  nm and  $\lambda_2 = 816$  nm to give  $\lambda_{\text{beat}} = 40 \,\mu\text{m}$  and a sinusoidal modulation with  $\lambda_{\text{mod}} = 40 \,\mu\text{m}$  as typically used in mode-locked FEL simulations.

#### Mode-Locked FEL Simulation Results

The modulated electron beam was entered into a modelocked FEL simulation using the 'beamfile' method (a list defining electron beam slice properties) in Genesis 1.3 [12]. The electron beam parameters of the CLARA long-bunch mode [13] were used and the undulator parameters were set for  $\lambda_r = 266$  nm. In addition to an undulator slippage of  $27\lambda_r$ , chicane slippage of  $123\lambda_r$  was applied (assuming no dispersion), such that the total slippage per undulatorchicane module matched the modulation period. The modulation amplitude was scanned and the temporal profile and spectrum of the FEL radiation near to saturation (at the end of the  $15^{th}$  radiator module) are shown in Fig. 2 for the optimum case, alongside the current profile.

The beat modulation on the electron beam energy is seen to work well in giving a well defined temporal pulse profile and discrete modes in the spectrum. Individual pulse durations of ~30 fs FWHM correspond to ~27 cycles as expected from the earlier discussion. The envelope of the temporal profile and noise in the spectrum show the usual effects of SASE. As anticipated there are no sub-spikes (i.e. interleaved pulses at the minima/maxima) as is observed in the normal energy modulation case [1].

Studies are underway to model the modulation stage using Genesis 1.3 with a simple two-colour seed. Preliminary results indicate that the peak power for the modulating laser should be of the order  $10^{8-9}$  W and that a suitable variation in energy spread can be achieved while the fine structure of the energy modulation appears washed out.

#### **SLICING A SINGLE SASE SPIKE**

In [6] simulations of the chirp and taper method were carried out at a hard x-ray resonant FEL wavelength of  $\lambda_r = 0.15$  nm in combination with an 800 nm modulating laser pulse and an isolated SASE spike with 200 as FWHM duration was predicted, corresponding to sub-cycle scale of the 800 nm modulation. For operation on CLARA at  $\lambda_r = 266$  nm the modulating laser should be approximately 40 µm [1] though  $\lambda_r = 400$  nm and  $\lambda_{mod} \approx 70$  µm may be



Figure 2: Current profile (top), temporal profile of the FEL power after 15 undulator modules (middle) and corresponding spectrum (bottom).

preferable to deliver a suitable modulating laser. In both cases this is a less straightforward source to generate and requires much larger apertures for transport than for 800 nm.

Another approach has recently been demonstrated [14,15], in which the temporal profile of the laser pulse used in the laser heater is shaped to have a short dip in intensity, such that the laser heater increases the energy spread of the beam everywhere except a short region. Compression of the bunch downstream of the laser heater and prior to the FEL means that the region of the bunch for lasing is further shortened compared to the feature imposed by the laser. This optical pulse shaping is expected to have advantages over the slotted foil method in terms of applicability at high repetition rates and in terms of flexibility and is an area that could be studied in detail on CLARA - here we report the first studies.

#### Modulation Stage

A laser heater is not included in the baseline design for CLARA since microbunching studies indicate it is not essential for FEL lasing, however space is reserved as it may enable useful experiments in future [16]. The FEL scheme has therefore been assessed for CLARA using modulator 1 tuned to be resonant with an 800 nm seed. Further studies in combination with start-to-end simulations would be of interest to determine the optimum location in terms of achievable pulse duration, synchronisation etc.

The long-bunch mode of CLARA [13] was again used for simulations but with the energy increased from 150 MeV to 190 MeV to allow the modulator to be resonant at 800 nm while operating at 266 nm in the radiator (this will also apply to the mode-locked FEL study). A preliminary study was



Figure 3: Current profile (top), temporal profile of the modulating laser pulse (middle) and corresponding induced energy spread at the end of the modulator (bottom).

work must carried out to determine how increasing the energy spread distribution of this reduces the FEL power at the nominal saturation point. An energy spread of  $\sigma_{\gamma} = 0.7$  was selected in order to reduce the maximum output power by around 3 orders of magnitude compared to the nominal  $\sigma_{\gamma} = 0.05$ . The modulation step was then modeled in Genesis 1.3 using the 'radfile' input method (a list of temporal slice properties of the laser N pulse). The Gaussian temporal envelope of the modulating 8 laser pulse was set to have duration slightly longer than the 20 electron bunch. The peak power of the laser pulse was set 0 to deliver the required energy spread increase from the earlicence ( lier study. The width of the Gaussian dip in the radiation power was optimised to pick out a single SASE spike. The radiation power profile and the resulting energy spread profile are shown in Fig. 3 alongside the current profile. The R modulating laser pulse energy should be ~300 µJ. Similar reterms of the CC sults were found assuming a 3 µm modulating laser, however 800 nm is preferable.

#### FEL Output

under the

è

work

The modulated electron beam distribution was exported from the first stage and imported into a second Genesis simulation to model the CLARA radiator (initialising the shot noise at 266 nm). The FEL process starts up from noise so the scheme was first optimised for a single shot noise realisation then repeated for the optimum case using OCELOT [17] may to automate runs. Several iterations of this process were carried out and the optimum results (temporal profile and spectrum at saturation) are shown in Fig. 4 and can be comrom this pared to a baseline case without any slicing effect shown in Fig. 5. An isolated pulse of duration ~200, fs FWHM is selected, corresponding to ~200 cycles at 266 nm. This is ~4 times longer than studies of the chirp and taper scheme



Figure 4: 800 nm sliced case power (top) and spectrum (bottom) for 10 shot noise cases (grey) and the average (black).



Figure 5: Nominal case power (top) and spectrum (bottom) for 10 shot noise cases (grey) and the average (black).

for CLARA [1], albeit with somewhat different parameters, indicating that further optimisation may be possible.

#### SUMMARY

Two methods have been investigated in which some functionality of difficult to realise longer wavelength sources required for CLARA could be replaced with a more readily available and configurable 800 nm source. The results of

38th International Free Electron Laser Conference ISBN: 978-3-95450-179-3

both are promising and will be considered in further studies. In particular, for the case of slicing a single SASE spike further work is required to assess how the ultimate potential of the temporal dip scheme compares to the chirp and taper scheme in terms of delivering short pulses at X-ray facilities.

#### REFERENCES

- J. A. Clarke *et al.*, "CLARA conceptual design report," *Journal of Instrumentation*, vol. 9, no. 05, p. T05001, 2014, https://doi.org/10.1088/1748-0221/9/05/T05001.
- [2] D. J. Dunning *et al.*, "CLARA facility layout and FEL schemes," presented at FEL'17, Sante Fe, NM, USA, paper MOP054, this conference.
- [3] B. W. J. McNeil, N. R. Thompson, and D. J. Dunning, "Transform-limited X-ray pulse generation from a highbrightness self-amplified spontaneous-emission free-electron laser," *Phys. Rev. Lett.*, vol. 110, p. 134802, 2013, https: //doi.org/10.1103/PhysRevLett.110.134802.
- [4] N. R. Thompson and B. W. J. McNeil, "Mode locking in a freeelectron laser amplifier," *Phys. Rev. Lett.*, vol. 100, p. 203901, 2008, https://doi.org/10.1103/PhysRevLett.100.203901.
- [5] D. J. Dunning, B. W. J. McNeil, and N. R. Thompson, "Fewcycle pulse generation in an x-ray free-electron laser," *Phys. Rev. Lett.*, vol. 110, p. 104801, 2013, https://doi.org/10.1103/ PhysRevLett.110.104801.
- [6] E. L. Saldin, E. A. Schneidmiller, and M. V. Yurkov, "Selfamplified spontaneous emission FEL with energy-chirped electron beam and its application for generation of attosecond X-ray pulses," *Phys. Rev. Spec. Top. Accel. Beams*, vol. 9, no. 5, pp. 1–6, 2006. Available at: https://doi.org/10.1103/ PhysRevSTAB.9.050702.
- P. Emma *et al.*, "Femtosecond and subfemtosecond x-ray pulses from a self-amplified spontaneous-emission-based free-electron laser," *Phys. Rev. Lett.*, vol. 92, p. 074801, 2004. Available at: https://link.aps.org/doi/10.1103/PhysRevLett. 92.074801.
- [8] Y. Ding *et al.*, "Femtosecond x-ray pulse characterization in free-electron lasers using a cross-correlation technique,"

*Phys. Rev. Lett.*, vol. 109, p. 254802, 2012. Available at: https://link.aps.org/doi/10.1103/PhysRevLett.109.254802.

- [9] D. J. Dunning, "Methods for the generation of ultra-short free-electron laser pulses," Ph.D. dissertation.
- [10] E. Kur, D. J. Dunning, B. W. J. McNeil, J. Wurtele, and A. A. Zholents, "A wide bandwidth free-electron laser with mode locking using current modulation," *New J. Phys.*, vol. 13, 2011. Available at: https://doi.org/10.1088/1367-2630/13/6/063012.
- [11] E. Roussel *et al.*, "New scenarios of microbunching instability control in electron linacs and free electron lasers," in *Proc. of IPAC'17*, pp. 3642–3644. Available at: http://accelconf.web. cern.ch/AccelConf/ipac2017/papers/thya1.pdf.
- [12] S. Reiche, "GENESIS 1.3: a fully 3D time-dependent FEL simulation code," *Nucl. Instr. Meth. Phys. Res. Sect. A*, vol. 429, p. 243, 1999. Available at: https://doi.org/10.1016/ S0168-9002(99)00114-X.
- [13] P. H. Williams *et al.*, "Developments in the CLARA FEL Test Facility accelerator design and simulations," in *Proc. of IPAC'16*, pp. 797–800. Available at: http://accelconf.web. cern.ch/AccelConf/ipac2016/papers/mopow037.pdf.
- [14] A. Marinelli *et al.*, "Optical shaping of x-ray free-electron lasers," *Phys. Rev. Lett.*, vol. 116, p. 254801, 2016.
  Available at: https://link.aps.org/doi/10.1103/PhysRevLett. 116.254801.
- [15] V. Grattoni *et al.*, "Control of the seeded FEL pulse duration using laser-heater pulse shaping," in *Proc. of IPAC'17*, 2017. Available at: http://accelconf.web.cern.ch/AccelConf/ ipac2017/papers/wepab034.pdf.
- [16] A. D. Brynes *et al.*, "Inducing microbunching in the CLARA FEL test facility," presented at FEL'17, Sante Fe, NM, USA, paper WEP026, this conference.
- [17] I. Agapov, G. Geloni, S. Tomin, and I. Zagorodnov, "Ocelot: a software framework for synchrotron light source and FEL studies," *Nuclear Instruments and Methods in Physics Research Section A: Accelerators, Spectrometers, Detectors and Associated Equipment*, vol. 768, pp. 151 – 156, 2014. Available at: http://dx.doi.org/10.1016/j.nima.2014.09.057.