

DEVELOPMENT OF A HIGH BRIGHTNESS ION SOURCE

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SUMMARY

The brightness and emittance of an ion beam can depend on the ion temperature, aberrations and scattering, as well as other factors. However, it is the ion temperature which determines the irreducible minimum value of the emittance and hence brightness, as the other components can be eliminated by careful design.

In this paper an ion source design is presented which has attained this minimum value for the emittance; the dependence of the ion temperature on the plasma source parameters is discussed.

THE ION SOURCE

Figure 1 presents an illustration of the ion source, which comprises the plasma generator and ion acceleration structure. Both of these components have been developed at the Culham Laboratory as part of an ongoing program for the injection of intense neutral atom beams into magnetically confined plasmas, for controlled thermonuclear research.

The plasma source is of the magnetic multipole type<sup>1,2</sup> sometimes called a "bucket" source, which has the advantages of a very high electrical efficiency, a low gas operating pressure and the production of a large volume of quiescent plasma. All these advantages arise from the magnetic multipole method of plasma confinement, which creates a magnetic well on all sides except the beam extraction surface, where the magnetic field is virtually zero.

The electrical efficiency is such that each kilowatt of arc power produces typically 4 amps of ions (in hydrogen) over the entire extraction area of about 80 cm<sup>2</sup>. The uniform plasma area is about 40 cm<sup>2</sup> and is large enough, as can be seen in Fig. 1, for a multi-aperture extraction system, which is capable of giving a beam current of about 1 ampere. In these experiments, however, only a single aperture is used.

The gas pressure for reliable source operation can be as low as 2 m Torr; the electric efficiency does decrease, however, at this pressure, which minimizes the effects of scattering collisions in the extraction system. Hence, these collisions do not influence the beam emittance.

The ion extraction system is of the tetrode type; an illustration is shown in Fig. 2. The tetrode structure reduces the effects of the anode hole effect, by decoupling the extraction from the post acceleration gap, which raises the beam to its final energy. It also adds an additional

electrostatic lens to the system, which enables the beam to be extracted with negligible compression. The divergence of the extracted ion beam depends on the degree of space charge neutralization, which in turn, depends on the diameter of the extracted beam.<sup>3</sup> Thus tetrode extraction systems have a big advantage as there is little beam compression and large diameter apertures can be used, as the anode hole effect is weaker.

The extraction geometry developed at Culham, shown in Fig. 2, has 12-mm diameter apertures, which are suitable for beam energies up to 70-80 kV. The maximum beam current through a single aperture extracted so far is 230 mA of mixed species H-ions at 70 kV, or 150 mA He<sup>+</sup> at the same energy. The extraction geometry is designed with water cooling, so that it can operate continuously. The beam divergence angle is 0.5°, which arises from the finite ion temperature and residual space charge. Figure 2 also shows the calculated ion trajectories, and as can be seen, there is little aberration with this extraction geometry because of the flat plasma boundary and the large aperture in the second electrode.

Hence, the only contribution to the beam emittance arises from the finite ion temperature in the plasma source. This is discussed later, where it is shown that the observed temperatures can be explained by purely classical processes. The field-free plasma and its quiescent uniform behavior, make it unlikely that any non-linear processes contribute to the emittance.

BEAM EMITTANCE AND ION TEMPERATURE

The normalized emittance and brightness for a cylindrical beam are defined as:

$$\epsilon_n = \beta\gamma A(r, r')/\pi$$

$$B_n = 2I_b/\pi^2 \epsilon_n^2$$

where  $I_b$  is the beam current. The ion temperature gives rise to a Maxwellian velocity distribution; hence the emittance of a non-relativistic beam of this type at the 1/e intensity contour at the extraction aperture is:

$$\epsilon_n = \frac{4a}{\pi} \cdot \left( \frac{2e T_i}{m_i c^2} \right)^{1/2} \quad (1)$$

and the diagram is rectangular in shape. The brightness is, hence:

$$B^2 = I_b m_i c^2 / 16a^2 e T_i$$

$$= \pi J_i m_i c^2 / 16 e T_i \quad (2)$$

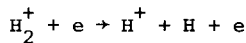
where  $J_i$  is the current density at the plane of extraction. The value of  $J_i$  depends on the extraction voltage and inter-electrode gaps and is only limited by the voltage breakdown strength of the extraction geometry.<sup>4</sup>

The ion temperature has been derived from the measured emittance, using Eq. 1 over a range of extracted current densities for both hydrogen and helium, as shown in Fig. 3. In the hydrogen discharge, a considerable rise in the value of  $T_i$  with increasing values of  $j_i$  is observed. Such an increase is not seen in a helium discharge, which suggests that there is a correlation between the increasing ion temperature and the increasing proton yield (also shown in Fig. 3). This may arise since the protons are more energetic than the other ions, because of their dissociation energy.

An emittance diagram of the hydrogen beam is shown in Fig. 4 for a beam energy of 40 keV. The normalized emittance for  $H_2^+$ , the average ion mass, is 0.12 mm-mrad, which leads to a temperature of 0.68 eV and a brightness of  $5.10^{11}$  mA/cm<sup>2</sup>/steradian. Also shown in Fig. 5, is the output from the diagnostic device, which shows that both the beam spatial and angular profiles are gaussian in shape. The helium beam emittance for the same extraction geometry is much lower, only 0.055 mm-mrad, which corresponds to a temperature of 0.32 eV and a brightness of  $1.4 \times 10^{12}$  mA/cm<sup>2</sup>/steradian.

DISCUSSION

The ion temperature is determined by the gas temperature in the source, the heating caused by classical ion-electron coulomb collisions, thermalization of the plasma potential energy, and the cooling effects caused by charge-exchanging collisions. In addition, in hydrogen discharges the dissociation energy released in the reaction:



must also be considered. Non-classical ion heating processes, such as electron beam-plasma interactions, are not considered, as they do not generate the observed Maxwellian (or gaussian) velocity distributions.

From the work by Spitzer,<sup>5</sup> the rate-of-change of ion energy with time is:

$$\frac{du_i}{dt} = C n_e / T_e^{1/2} m_i \left[ J_s^{-1} \right], \quad (3)$$

in the limit where  $T_e$  is much greater than  $U_i$ . The constant  $C$ , for electron collisions is  $2.17 \times 10^{-57}$  in mks units. Ion-ion collisions transfer energy far more rapidly, in the ratio of  $m_i/m_e$ . Hence, all the ions in the plasma have virtually the same temperature, although small differences in beam profile have been observed between the various hydrogen ion species.<sup>6</sup>

Before Eq. 3 is integrated, the cooling effects of charge exchange must be considered, which convert energetic ions into cool ions, while the fast neutrals, so formed, escape. However, if the discharge is large enough, these fast neutrals

undergo a second charge-exchanging collision and re-form the original hot ion. As the cross-section is the same in both cases, the energetic particle spends half the distance it travels in the form of an ion and the other half in the form of a fast neutral atom or molecule.

However, the distance an ion travels in the plasma source,  $S_i$ , is a function of the source geometry alone. In the case of a bucket source:

$$S_i = \frac{A_T d}{A_i}$$

where  $A_T$  is total surface area,  $A_i$  is the ion collection area and  $d$  is the plasma diameter. Hence, the effect of charge-exchange collisions is to reduce the distance during which ions can be heated, to  $S_i/2$ . This conclusion is in agreement with experiment, as no variation in  $T_i$  was observed by varying the source pressure.

It is now possible to evaluate  $U_i$  by integrating Eq. 3. The equation can be transformed to:

$$\frac{dU_i}{dt} = \frac{dU_i}{ds} \left( \frac{2U_i}{m_i} \right)^{1/2} = \frac{C n_e}{T_e^{1/2} m_i}$$

Hence,  $\frac{2}{3} (U_i)^{3/2} \left( \frac{2}{m_i} \right)^{1/2} = \frac{C n_e S_i}{2T_e^{1/2} m_i} + \text{constant} \quad (4)$

The constant is defined by the initial temperature of the ions, which depends on the gas temperature, the proton fraction, and lastly, the plasma potential. The first and last of these are constant and do not depend on  $n_e$ ; however, the proton fraction does depend on the ion current density, which is proportional to  $n_e$ . Hence, the initial energy,  $U_{i0}$ , is:

$$U_{i0} = 1/2 m_i v_o^2 + \beta kT_e + fT = E_o + fT, \quad (5)$$

where  $v_o$  is the gas temperature,  $\beta$  is a numerical factor, which is small compared to unity,  $f$  is the fractional proton yield and  $T$  is the dissociation energy. The term  $E_o$ , is virtually invariant to all changes in the discharge parameters.

Combining Eq. 4 and Eq. 5 gives:

$$U_i^{3/2} - (E_o + fT)^{3/2} = \frac{3C n_e S_i}{4(2m_i T_e)^{1/2}} = \frac{3C J_i S_i}{4(2k)^{1/2} e T_e} [J], \quad (6)$$

where  $U_i$  is the final ion temperature at extraction, assuming ions leave the plasma at the ion sound speed.

Equation 6 shows that the RHS is independent of the gas except for  $T_e$ , which is unlikely to vary greatly. For helium, there is no dissociation; hence,  $f$  is zero and Eq. 6 can be linearized when the RHS is small, to give:

$$U_i = E_o + \frac{2J_i}{3} \cdot \left( \frac{3 C S_i}{4 \cdot (2k)^{1/2} e T_e E_o^{1/2}} \right)$$

This expression can be tested against the helium data shown in Fig. 6. The slope gives a value of  $5.4 \times 10^{-23} \text{Jm}^2/\text{A}$ , which is in reasonable agreement with the equation above, which yields a value of  $5.7 \times 10^{-23} \text{Jm}^2/\text{A}$ .

The hydrogen discharge is more difficult to analyze, but the value of  $U_i$  for H and He can be compared at the same current density. Thus, as the RHS is mass independent, and the electron temperature is constant, then:

$$U_{iH}^{3/2} - U_{iHe}^{3/2} = (E_{oH} + fT)^{3/2} - E_{oHe}^{3/2},$$

or

$$(U_{iH}^{3/2} - U_{iHe}^{3/2} + E_{oHe}^{3/2})^{2/3} = E_{oH} + fT.$$

This is plotted in Fig.7 and a straight line is obtained. The slope of the line indicates that T is approximately 0.6 eV and  $E_{oH}$  is 0.22 eV, the same value as  $E_{oHe}$ .

The energy level diagram of  $H_2^+$  shows that the full Frank-Condon energy between the  $1S\sigma_g^+$  level ( $H_2^+$ ) and the unstable  $2P\sigma_u$  level ( $H^+ + H^o$ ) depends very strongly on the vibrational level occupied by the  $H_2^+$  ion. The small value of T suggests that  $H_2^+$  ion is excited to a high vibration level in the  $1S\sigma_g^+$  level before it is dissociated to the  $2P\sigma_u$  level by electron impact. The Frank-Condon energy appears in the kinetic energy of the two daughter particles and hence this energy is 1.2 eV.

The value of  $E_o$  corresponds to 2500°K for both H and He discharges. An estimate for  $\beta$ , based on the ionization rate and the plasma size, is in the region of 0.04, which suggests that  $\beta kT_e$  forms the major contribution to the value of  $E_o$ . The gas temperature in this small source could be close to room temperature because of the high collision rate with the source walls.

CONCLUSION

Extraction of ions from a quiescent plasma source, using a specially designed tetrode extraction system with a single aperture, allows ion beams to be formed whose emittance and brightness are only limited by the ion temperature. This temperature is mainly determined by the  $H_2^+$  dissociation energy in hydrogen discharges and by the ion-electron collision rate in helium discharges, where ion dissociation does not occur.

Such ion temperature dominated beams are presently being used for neutral injection experiments in controlled thermonuclear fusion, but could be used in other applications.

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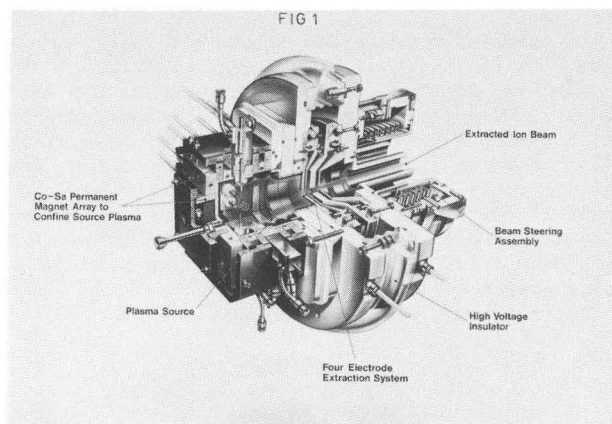


Fig.1 Cut-away view of ion source

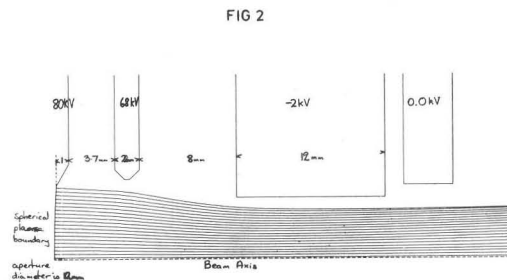


Fig.2 Numerical view of ion trajectories

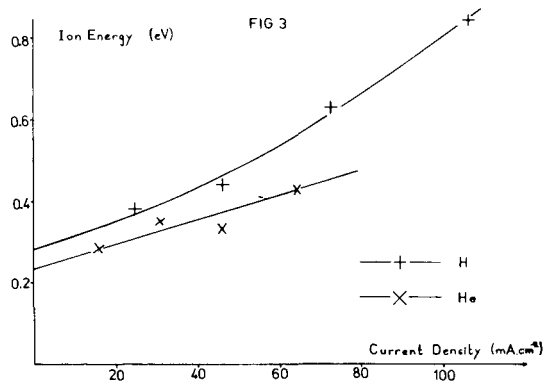


Fig.3 Measured ion temperature of ion derived from beam emittance in hydrogen and helium discharges as a function of ion current density

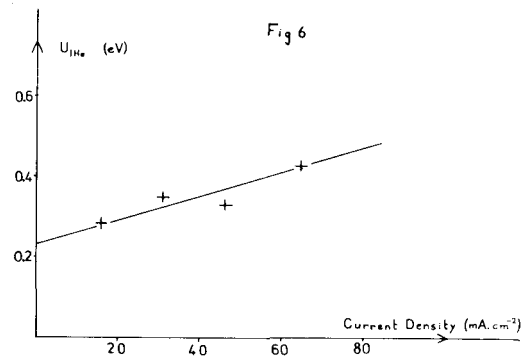


Fig.6 Scaling of the helium temperature with ion current density

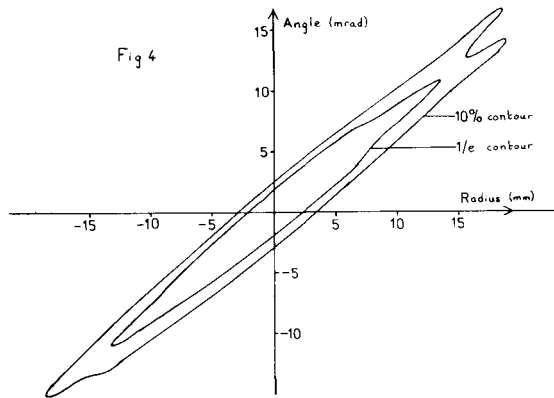


Fig.4 Typical emittance diagram

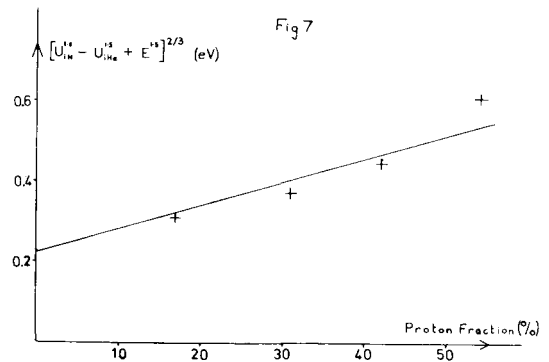


Fig.7 Scaling of hydrogen temperature with fractional proton density

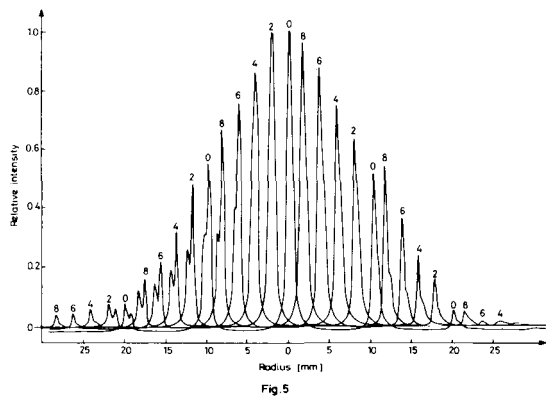


Fig.5 Raw data from emittance device showing the gaussian beam profile and the individual peaks