# A MODEL STUDY OF TRANSVERSE MODE COUPLING INSTABILITY AT NATIONAL SYNCHROTRON LIGHT SOURCE-II (NSLS-II) 

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#### Abstract

The vertical impedances of the preliminary designs of National Synchrotron Light Source II (NSLS-II) Mini Gap Undulators (MGU) are calculated by means of GdfidL code. The Transverse Mode Coupling Instability (TMCI) thresholds corresponding to these impedances are estimated using an analytically solvable model.


## INTRODUCTION

Up to twenty mini-gap undulators (MGUs) with 5 mm magnet gaps are envisioned to be the major source of light at NSLS-II. In order for NSLS-II to be successful, we have to make sure that TMCI induced by MGUs is under control. We compute the transverse impedance for some model MGUs and estimate the threshold for the corresponding TMCI.
For numerical calculations of the vertical impedance, the 3D computer code GdfidL [1] is used. GdfidL runs on Linux cluster with 6 AMD64 CPUs and 10 gigabyte RAM.
For threshold estimation, the machine and beam parameters of [2] will be adopted throughout this paper. We approximate the computed impedance by a broadband impedance (BBZ) of quality factor $\mathrm{Q}_{\mathrm{r}}=1$. For a transverse resonance, $\operatorname{Imaginary}[Z(\omega \rightarrow 0)]=R / Q_{r}$, where $R$ is the shunt impedance; therefore we shall use these two quantities interchangeably.

## VERTICAL IMPEDANCE OF MGUS MODEL

We first treat a preliminary design of superconducting (SC) MGU. The vacuum chamber in the magnet section of the SCMGU has a small elliptical beam pipe with full major axis $\mathrm{a}_{\mathrm{s}}=15 \mathrm{~mm}$ and full minor axis $\mathrm{b}_{\mathrm{s}}=5 \mathrm{~mm}$; the length $\mathrm{L}_{\mathrm{m}}$ of the magnet section is 5 m . Each side of the small beam pipe is connected to the regular beam pipe ( $a_{b}=50 \mathrm{~mm}$ and $b_{b}=25 \mathrm{~mm}$ ) by a half-meter long taper.


Figure 1: Elliptic vacuum chamber of the SCMGU.
For $\mathrm{L}_{\mathrm{m}}=5 \mathrm{~m}$, the available computer resource does not allow us to take a small enough step size. Fortunately, computation for this MGU in the accessible region
indicates that $\operatorname{Im}[Z(\omega \rightarrow 0)]$ is independent of $L_{m}$. We assume that this is the case all the way up to $\mathrm{L}_{\mathrm{m}}=5 \mathrm{~m}$.
GdfidL results are presented in Figure 2. The parameters used: Taper length $=500 \mathrm{~mm}, \mathrm{~L}_{\mathrm{m}}=100 \mathrm{~mm}$ and regular beam pipe length $=50 \mathrm{~mm}$, totally 1.2 m . With step size $100 \mu \mathrm{~m}$, the frequency range of computations is limited to $f<110 \mathrm{GHz}$. From Fig. $2, \operatorname{Im}[\mathrm{Z}(\omega \rightarrow 0)]=6.5 \mathrm{k} \Omega / \mathrm{m}$.
Note the prominent resonant structure of Z in Fig. 2. Theoretical consideration leads us to believe that most of them are TE-mode resonances trapped by the tapers in the magnet section. Detailed discussion on these trapped modes will be presented elsewhere [3].


Figure 2: Real and imaginary part of the transverse impedance for the vacuum chamber of SCMGU.

We next consider the vertical impedance of a roomtemperature (RT) MGU. The design of this MGU is similar to the 3.3 mm gap MGU currently being used at X-Ray ring of NSLS [4,5]. A simplified cut out picture of such RTMGU is shown in Fig. 3. It consists of two magnet arrays placed in a rectangular vacuum chamber. Two sides of the permanent magnet section are connected to the tapers by means of the tensile plates. The tapers are fixed relative to the vacuum chamber, however the tensile plates are flexible, allowing the gap between the two magnets in the vacuum chamber to vary. We note that the cross section of the permanent magnet section looks like an H -wave guide.


Figure 3: Room Temperature MGU.

The dimensions of various parts of the RTMGU for NSLS-II are as follows: The width and the height of the vacuum chamber are 0.2 m and 0.16 m , respectively; the magnet width $=0.1 \mathrm{~m}$; magnet length $=5 \mathrm{~m}$; the taper length $=0.5 \mathrm{~m}$.

In Figure 4 are shown GdfidL-computation results of the reactive part of Z up to $f=4 \mathrm{GHz}$ for two magnet lengths 390 mm and 2000 mm . We did not compute for the designed value of the magnet length 5 m due to the limitation of the available computer resource. Corresponding to the step size of $500 \mu \mathrm{~m}$, the maximum frequency we can deal with is 50 GHz . We mention here that $\operatorname{Im}[Z]$ is inductive and increasing with increasing $f$ at $f=50 \mathrm{GHz}$, which implies that the resonance frequency $f_{\mathrm{BB}}$ of the broadband impedance is greater than 50 GHz .

A series of resonances are clearly visible in Figure 4. Up to frequency 1 GHz they correspond to the $\mathrm{TE}_{10}$ p modes in the H waveguide and to the $\mathrm{TE}_{11 \mathrm{p}}$ modes in the coaxial waveguide, where $p$ is the number of field variations in the z-direction. As we expect, the number of such resonances in a frequency range is proportional to the magnet length.


Figure 4: Imaginary parts of the transverse impedances for magnet lengths 390 mm and 2000 mm (RTMGU).

The imaginary parts of the transverse impedances at low frequencies are $\sim 70 \mathrm{k} \Omega / \mathrm{m}$ for the magnet length 390 mm and $\sim 100 \mathrm{k} \Omega / \mathrm{m}$ for the magnet length 2000 mm (with a step size of $500 \mu \mathrm{~m}$ ). Transverse impedance in such a structure depend on the magnet length, and the impedance increases with increasing $\mathrm{L}_{\mathrm{m}}$. A linear extrapolation gives $\operatorname{ImZ}(\omega \rightarrow 0) \sim 155 \mathrm{k} \Omega / \mathrm{m}$ for $\mathrm{L}_{\mathrm{m}}=5 \mathrm{~m}$.

The total $R / Q_{r}$ for twenty 5 m RTMGU above is $\sim 3 \mathrm{M} \Omega / \mathrm{m}$; this is too big. Two options for reducing this number are considered. In the first one, flexible metallic $\Omega$-form insertion is added between the top and the bottom of the magnets. The tensile plates have a metallic shield. This reduced the vertical impedance to $43 \mathrm{k} \Omega / \mathrm{m}$ (with a step size $500 \mu \mathrm{~m}$ ). We conjecture, based on our experience, that had we enough computer resources to calculate with a step size of $100 \mu \mathrm{~m}$, we would have obtained $\sim 27 \mathrm{k} \Omega / \mathrm{m}$. For this shielded geometry the imaginary part of the transverse impedance does not depend on the magnet length.
For the second option, we added damping material silicon carbide ( SiC ) in the RTMGU structure. We found that the amount of reduction in $\operatorname{ImZy}(\omega \rightarrow 0)$ is negligible
if we insert SiC in the magnet section. An effort to add the damping material to the tapers is in progress.

## THRESHOLD ANALYSIS

We estimate in the remainder of the paper the effects of the MGUs considered above on TMCI. We employ a solvable model where only the rigid dipole mode corresponding to $\mu=0$ and the dipole mode $\mu=-1$ contribute. The method is based on Ruth's asymptotic expansion of the dispersion relation for vertical coherent motion [6,7]. As mentioned earlier, we take the vertical impedance $Z(\omega)$ to be a broadband impedance (BBZ) with the quality factor $\mathrm{Q}_{\mathrm{r}}=1$. Instead of Z , it is convenient to use a dimensionless quantity $\bar{Z}(\omega)=Z(\omega) / R$, where $R$ is the shunt impedance. We shall also use the effective impedance defined by

$$
\zeta_{k}=\sum \exp \left(-\psi_{m}^{2}\right)\left(\psi_{m} / \sqrt{2}\right)^{k} \bar{Z}\left[\left(m+\delta v_{y}\right) \omega_{0}\right]
$$

where $\psi_{m}=\left(\mathrm{n}+\boldsymbol{\delta} \nu_{y}\right) \omega_{0} \sigma_{\tau}$ (for simplicity we assume the chromaticity $\xi=0$ in this paper), $\delta v_{\mathrm{y}}$ is the fractional part of the vertical tune $v_{y}$, and the summation is from $m=-\infty$ to $\infty$. Since $Z$ is a broadband impedance, $\zeta_{k}$ can, from the symmetry property of $Z$, be regarded to a very good approximation, as purely reactive when $k$ is an even integer, and resistive when $k$ is odd. Let us introduce the notation $\bar{L}_{k}=-\operatorname{Im}\left[\zeta_{k}\right]$ when $k$ is even, and $\bar{R}_{k}=\operatorname{Re}\left[\zeta_{k}\right]$ when $k$ is odd.
The coherent modes are solutions of the following eigenvalue equation:

$$
\left(\begin{array}{ll}
-i \eta \bar{L}_{0} / q, & -i \eta \bar{R}_{1} /(1+q)  \tag{1}\\
-i \eta \bar{R}_{1} / q, & -i \eta \bar{L}_{2} /(q+1)
\end{array}\right)\binom{u_{0}}{u_{-1}}=\binom{u_{0}}{u_{-1}},
$$

where q is the coherent frequency shift normalized by $\omega_{\mathrm{s}}$, the dimensionless quantity $\eta=\lambda \mathrm{I}_{\mathrm{B}}, \mathrm{I}_{\mathrm{B}}$ is the bunch current and $\lambda=\mathrm{cR} / 4 \pi \mathrm{E}_{0} v_{\mathrm{y}} \omega_{\mathrm{s}}$. Note that the diagonal elements of the matrix are reactive and the off-diagonal elements are resistive. Also that if we ignore the off diagonal elements of the above matrix, the eigenvalues are $\mathrm{q}=-i \eta \bar{L}_{0}$ and $-1-$ $i \eta \bar{L}_{2}$.

An eigenvalue q is a solution of the quadratic secular equation

$$
\begin{equation*}
\mathrm{q}^{2}+\mathrm{q}\left[1+\eta\left(\bar{L}_{0}+\bar{L}_{2}\right)\right]+\left[\eta \bar{L}_{0}+\eta^{2}\left(\bar{L}_{0} \bar{L}_{2}+\bar{R}_{1}^{2}\right)\right]=0,(2 \tag{2}
\end{equation*}
$$

and the positive imaginary part of $q$ corresponds to instability. The threshold condition can readily be obtained from the above equation as

$$
\begin{equation*}
\left(\mathrm{R} / \mathrm{Q}_{\mathrm{r}}\right)_{\mathrm{th}}=4 \pi \mathrm{E}_{0} \mathrm{v}_{\mathrm{y}} \omega_{\mathrm{s}} /\left[\mathrm{c}_{\mathrm{B}}\left(\bar{L}_{0}-\bar{L}_{2}+2 \bar{R}_{1}\right)\right] \tag{3}
\end{equation*}
$$

In the limit of $f_{\mathrm{BB}} \rightarrow \infty$, where $f_{\mathrm{BB}}$ is the resonance frequency of BBZ, the above expression becomes

$$
\begin{equation*}
\left(\mathrm{R} / \mathrm{Q}_{\mathrm{r}}\right)_{\mathrm{th}}=(16 \sqrt{\pi} / 3)\left(\mathrm{E}_{0} v_{\mathrm{y}} \sigma_{\tau} \omega_{\mathrm{s}} \omega_{0} / \mathrm{cI}_{\mathrm{B}}\right) . \tag{4}
\end{equation*}
$$

This expression set a very convenient scale for the TMCI threshold.

## TMCI THRESHOLD AT NSLS-II

We apply the threshold analysis above to NSLS-II. Using the nominal value $\mathrm{I}_{\mathrm{B}}=0.7 \mathrm{~mA}$ and other NSLS-II parameters [2], we obtain Figure 5, where the threshold value of $R / Q_{r}$ in units of $M \Omega / m$ is plotted as a function of $f_{\mathrm{BB}}$ in the range of $50 \mathrm{GHz}<f_{\mathrm{BB}}<200 \mathrm{GHz}$. Recall that we do not know the exact value of $f_{\mathrm{BB}}$, except that it is greater than 50 GHz ; we conclude from this and Figure 5 that

$$
\begin{equation*}
0.9 \mathrm{M} \Omega / \mathrm{m}<\left(\mathrm{R} / \mathrm{Q}_{\mathrm{r}}\right)_{\mathrm{th}}<1.14 \mathrm{M} \Omega / \mathrm{m} . \tag{5}
\end{equation*}
$$



Figure 5: Dependence of $R / Q_{r}$ threshold $f_{B B}$.
Note that, in Figure $5,\left(\mathrm{R} / \mathrm{Q}_{\mathrm{r}}\right)_{\mathrm{th}}=0.9 \mathrm{M} \Omega / \mathrm{m}$ at $f_{\mathrm{BB}}=50 \mathrm{GHz}$. Figure 6 compares the TMCI plot for the above point with that for $R / \mathrm{Q}_{\mathrm{r}}=0.9 \mathrm{M} \Omega / \mathrm{m}$ and $f_{\mathrm{BB}}=\infty$. The difference of the threshold currents is about $20 \%$.


Figure 6: TMCI threshold for a bunch current. Two-mode model.

As mentioned earlier, we consider installing up to 20 MGUs in NSLS-II. If we compare the results of Section 2 with Eq.(5), we see that TMCI is above threshold for 20 unshielded RTMGU, but it is below threshold for the same number of shielded RTMGU or SCMGU.

One important question remains: The two-mode approximation should be pretty good for small $f_{\mathrm{BB}}$, but how good is it in the frequency region under consideration. In Figure 7, we compare the TMCI plot of two-mode model and that of nine-mode model. The parameters used are $f_{\mathrm{BB}}=150 \mathrm{GHz}$, and $\mathrm{R} / \mathrm{Q}_{\mathrm{r}}=0.9 \mathrm{M} \Omega / \mathrm{m}$.

The black lines correspond to two-mode model, and the blue lines to nine-mode model. We see the difference in the threshold currents is about $25 \%$. We take this to be the range of error for the two-mode model.


Figure 7: TMCI plots for nine-mode model and two-mode model.

## CONCLUSION

By choosing long tapers and, for RTMGU, shielding the beam chamber from the resonances associated with the H waveguide, we succeeded in designing MGU beam chambers with acceptable vertical beam impedance.

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